**BOS**

**From:** BOS
**Sent:** Thursday, January 17, 2013 8:59 AM
**To:** CID
**Cc:** ISD; BOS
**Subject:** CT 775.510 Aaron Swartz (J-102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: REPORT OF JUDICIAL ACTION

ACTUAL LOSS: TBD

POTENTIAL LOSS: $2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 09/21/12 - REPORT OF JUDICIAL ACTION
REPORTING PERIOD: 09/22/12 - 1/17/13
STATUS: CONTINUED

SYNOPSIS:

On 1/11/13, Aaron Swartz was found dead in his apartment in Brooklyn, NY as a result of an apparent suicide.

A suppression hearing in this had been scheduled for 1/25/13, with a trial date of 4/01/13, in U.S. District Court for the District of Massachusetts.

Case continued.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report Judicial Action written by SA (b)(6),(b) on 09/21/12.

On 1/12/13, several open internet sources were reporting the death of Aaron Swartz. Detective (b)(6),(b)(7)(C) Cambridge, MA PD, contacted Detective (b)(6),(b)(7)(C) New York City PD (NYPD) - Precinct 71 to confirm Swartz' death. Detective (b)(6) stated that at 19:35 hours on 1/11/13, it was reported to NYPD that Aaron Swartz had committed suicide. Detective (b)(6), further stated he responded to (b)( (b)(6),(b)(7) (b)(6), (b)(6),(b)(7)( (b) in Brooklyn, NY, 11225 and confirmed that Aaron Swartz was found dead of an apparent suicide. The reporting party was Swartz' girlfriend, (b)(6), (b)(6),(b)(7)(C) (b)(6),(b)(7)( (DOB (b)(6),(b)(7), who resided with the Swartz at the apartment.

JUDICIAL ACTION:

A suppression hearing had been scheduled for 1/25/13 in U.S. District Court for the District of Massachusetts with a trial date of 4/01/13.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT (FEDERAL)

1599: Yes (Entered 1/19/2011)
1599A: Yes (Entered 11/29/2011)

EXAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

NCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault as previously reported.

DISPOSITION:

Case continued pending disposition of evidence.

USSS / BOSTON (b)(6),(b)(7)(C) RICCIARDI

JUDICIAL ACTION:

On 09/12/12, a superseding indictment was rendered in the United States District Court, District of Massachusetts, charging Aaron Swartz with violations of 18 U.S.C 1343 wire fraud; 18 U.S.C 1030 computer fraud, unlawfully obtaining information from a protected computer and recklessly damaging a protected computer; and 18 U.S.C 2 aiding and abetting.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT
1599: Yes
1599A: Yes

XAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

| | |
|---|---|
| MCI / CI: | 01/07/11 |
| NCIC/NLETS: | 01/07/11 |
| CCS/CFT: | 01/07/11 |
| LOCAL LE: | 01/07/11 |

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C) / RICCIARDI

**BOS**

**From:** BOS
**Sent:** Tuesday, May 29, 2012 2:11 PM
**To:** CID
**Cc:** BOS; ISD
**Subject:** CT 775.510 Aaron Swartz (J-102-775-60071-S)

FILE COPY

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: REPORT OF JUDICIAL ACTION

ACTUAL LOSS: TBD POTENTIAL LOSS: $2,000,000.00

RECEIVED US SECRET SERVICE 2012 MAY 29 P 2:20 BOSTON, MA

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b) (b)(6 (b)(6),(b) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 02/27/12 - Report of Continuing Investigation
REPORTING PERIOD: 02/28/12 - 05/29/12
STATUS: CONTINUED

SYNOPSIS:

Judicial action continues in the case of the United States versus Aaron Swartz and the case of the Commonwealth of Massachusetts versus Aaron Swartz.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b) on 02/27/12.

Reference is made to the telephone conference between Assistant U.S. Attorney Stephen Heymann, SA (b)(6),(b) (b)(6),(b) and Detective (b)(6), (b)(6),(b) Cambridge Police Department on 05/15/12.

Reference is made to the email from Assistant District Attorney (b)(6),(b)(7)(C) to SA (b)(6),(b) (b)(6),(b) on 05/23/12.

JUDICIAL ACTION:

On 05/15/12, AUSA Heymann, Detective (b)(6), and SA (b)(6),(b) conducted a telephone conference call to discuss the progress of the case against Swartz. AUSA Heymann informed SA (b)(6),(b) and Detective (b)(6),(b) that the case was progressing and he expected judicial action at some time in the future.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT

1599: Yes
1599A: Yes

XAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

| | |
|---|---|
| MCI / CI: | 01/07/11 |
| NCIC/NLETS: | 01/07/11 |
| CCS/CFT: | 01/07/11 |
| LOCAL LE: | 01/07/11 |

Results of database searches have been reported under "Details of Investigation."

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C) / RICCIARDI

BOS

FILE COPY

From: BOS
Sent: Monday, February 27, 2012 6:01 PM
To: CID
Cc: ISD; BOS
Subject: CT 775.510 Aaron Swartz (102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: 3102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: REPORT OF CONTINUING INVESTIGATION

ACTUAL LOSS: TBD POTENTIAL LOSS: $2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 11/29/11 - Report of Continuing Investigation
REPORTING PERIOD: 11/30/11 - 02/27/11
STATUS: CONTINUED

SYNOPSIS:

Swartz was arraigned in the Middlesex County, Massachusetts Superior Court on two counts of breaking and entering in the daytime; one count of larceny for more than $250.00; and three counts of unauthorized access to a computer.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)( on 11/29/11.

On 12/20/11, SA (b)(6),(b (b)(6),(b Boston PD (both of the New England Electronic Crimes Task Force - NEECTF) Detective (b)(6),(b)(7)(C) Cambridge PD, and Detective (b)(6),(b)(7 delivered a hard drive containing discovery information prepared by (b)(6),(b)(7)(C) of CERT to 20 Park Plaza in Boston, Massachusetts. The drive was signed for by (b)(6),(b)(7) (b)(6),(b)(7)( of Martin Weinberg P.C. The signed receipt by (b)(6),(b)(7) will remain a permanent part of this case file.

On 01/31/12 (b)(6),(b)(7)(C) a courier for Martin Weinberg, signed for a Toshiba Portable Hard Drive containing discovery information also prepared by (b)(6),(b)(7)(C) at the Boston Field Office.

JUDICIAL ACTION:

On 11/30/11, Swartz was arraigned in Middlesex County, Massachusetts Superior Court on two counts of breaking and entering daytime, one count of larceny for more than $250.00, and three counts of unauthorized access to a computer.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT

1599: Yes

1599A: Yes



RIF

EXAMS CONDUCTED:

ECSAP: 03/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

| | |
|---|---|
| MCI / CI: | 01/07/11 |
| NCIC/NLETS: | 01/07/11 |
| CCS/CFT: | 01/07/11 |
| LOCAL LE: | 01/07/11 |

Results of database searches have been reported under "Details of Investigation".

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C) / RICCIARDI

**BOS**

**From:** BOS
**Sent:** Tuesday, November 29, 2011 3:49 PM
**To:** CID
**Cc:** ISD: BOS
**Subject:** 775.510 Aaron Swartz J-102-775-60071-S (Continued)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: REPORT OF CONTINUING INVESTIGATION

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)( (b)(6),(b) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 08/01/11 - NOTIFICATION OF FEDERAL ARREST
REPORTING PERIOD: 08/02/11 - 11/29/11
STATUS: CONTINUED

RECEIVED
US SECRET SERVICE
2011 NOV 29 P 3 51
BOSTON, MA

SYNOPSIS:

In addition to the federal charges pending in this case, Aaron Swartz was also indicted in Suffolk County, MA Superior Court for state charges to include breaking and entering in the daytime with intent to commit a felony, larceny over $250 and unauthorized access to a computer network.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)( dated 08/01/11.

On 11/21/11, Aaron Swartz, along with attorneys Martin Weinberg and (b)(6),(b) (b)(6),(b)(7)(C) came to the Boston Field Office to review evidence in this case as part of the discovery process. After the review, items approved for release by AUSA Stephen Heymann were turned over to attorney Weinberg.

On 11/23/11, SA (b)(6),(b) turned over the Apple iMac model A1311 with serial number W8025AXGD87 that was seized from Harvard University pursuant to a federal search warrant on 02/11/11 to Detective (b)(6), (b)(6), of the Harvard University Police Department.

JUDICIAL ACTION:

On 11/17/11, Aaron Swartz was indicted in Suffolk Superior Court for two counts of breaking and entering in the daytime with intent to commit a felony, larceny over $250, and three counts of unauthorized access to a computer network.

On 11/25/11, Assistant District Attorney (b)(6),(b)(7)(C) for the Middlesex District Attorney's Office emailed SA (b)(6),(b)( to inform him that he expected Swartz to be arraigned on 11/30/11.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron - DEFENDANT - ARRESTED (FEDERAL)
1599: Yes
1599A: Yes
PHOTO: Yes
PRINTS: Yes

(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) - SUBJECT
1599: Yes
1599A: No

(b)(6),(b) (b)(6),(b) - SUBJECT
1599: Yes
1599A: No

EXAMS CONDUCTED:

ECSAP: 05/20/11
Polygraph: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 31
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(b)(
DESCRIPTION OF ITEMS: Scientific Atlantic Modem SN# SM1565968
Apple Multi Adapter SN# 6F9395M72U6
Apple Multi Adapter SN# 6F7281NNU4S
Black Notebook Journal
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Item 1 and 4 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N: 102 2011 CE 32
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(b)(
DESCRIPTION OF ITEMS: Harvard University Earning Statement
Earning Statement Addressed to Aaron Swartz
Master's Thesis M-876 Lind
Western Digital Mail-in Rebate Form
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Items 1 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

| | |
|---|---|
| EVIDENCE SSF 1544 S/N: | 102 2011 CE 33 |
| DATE OF INVENTORY: | 02/11/11 |
| INVENTORY MADE BY: | SA (b)(6),(b) |
| DESCRIPTION OF ITEMS: | Wireless-G 2.4 GHz Broadband Router Linksys<br>MacBook Mac OSX Install Disc one and two<br>MacBook User Guide in Gray Cardboard case<br>Apple Care Service Letter Dispatch R&276408<br>Genius Bar Work Confirmation |
| SEIZED / OBTAINED FROM: | Aaron Swartz |
| LOCATION: | Boston Field Office Evidence Vault |
| DISPOSITION: | Item 1 returned to Swartz's attorney, Martin Weinberg, on 11/21/11. |

EVIDENCE SSF 1544 S/N: 102 2011 CE 35
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(b
DESCRIPTION OF ITEMS: Metallic Blue iPod
White iPod with carrying case
White iPod with serial number 3A6330856UX0A
White iTalk
Black 16GB Thumb-drive
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Items 1 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N: 102 2011 CE 36
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(b)
DESCRIPTION OF ITEMS: Fifty-four miscellaneous compact discs
Two hard drive enclosures
T-Mobile Sidekick
Sony Micro Vault
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: 39 CDs returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N: 102 2011 CE 37
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(b)
DESCRIPTION OF ITEMS: DVD-R with handwritten label
Pocket notebook
Pure Drive Quick start guide
Disk utility internal hard drive upgrade kit
Seagate Barracuda Hard Drive Installation Guide
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Items 3 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N: 102 2011 CE 38
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(b)(7
DESCRIPTION OF ITEMS: Apple iMac Model A1311 serial number W8026AXGD87
Western Digital Hard Drive SN WMANM1006724
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Item 1 turned over to Harvard Univ. PD Det. (b)(6),(b) (b)(6),( on 11/23/11.

EVIDENCE SSF 1544 S/N: 102 2011 CE 81
DATE OF INVENTORY: 02/25/11
INVENTORY MADE BY: SA (b)(6),(b)(
DESCRIPTION OF ITEMS: 16 CD contained in a mailing envelope
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Martin Weinberg P.C.
DISPOSITION: Returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C) RICCIARDI

BOS

**From:** BOS
**Sent:** Monday, August 01, 2011 1:38 PM
**To:** CID
**Cc:** ISD; BOS
**Subject:** 775.510 Notification of Federal Arrest - Aaron Swartz (J-102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: NOTIFICATION OF FEDERAL ARREST
AARON SWARTZ - Date of Arrest: 07/19/11

FILE COPY

ACTUAL LOSS: $TBD

POTENTIAL LOSS: $2,000,000.00

FEDERAL STATUES VIOLATED - TITLE 18 UNITED STATES CODE
SECTION 1343 - WIRE FRAUD
SECTION 1030(a)(4) - COMPUTER FRAUD
SECTION 1030(a)(2) - THEFT OF INFORMATION FROM A COMPUTER
SECTION 1030(a)(5)(B) - RECKLESSLY DAMAMGING A COMPUTER
SECTION 2 - AIDING AND ABETTING

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)( (b)(6),(b)( (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 06/29/11 - REPORT OF CONTINUING INVESTIGATION
REPORTING PERIOD: 06/30/11 - 08/01/11
STATUS: CONTINUED

SYNOPSIS:

Aaron Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) - Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(B) - Recklessly Damaging a Computer; and Section 2 - Aiding and Abetting.

On 07/19/11, Swartz surrendered to federal authorities and was arraigned before Magistrate Judge Judith G. Dein.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)( on 06/29/11.

JUDICIAL ACTION:

On 07/14/11, Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) - Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(B) - Recklessly Damaging a Computer; and 2 - Aiding and Abetting.

On 07/19/11, Swartz surrendered to federal authorities and was arraigned before Magistrate Judge Judith G. Dein. Swartz was released on $100,00.00 bond.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron - DEFENDANT - ARRESTED (FEDERAL)
1599: Yes
1599A: No

(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) - SUBJECT
1599: Yes
1599A: No

(b)(6),(b)(7)(C) SUBJECT
1599: Yes
1599A: No

EXAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

WCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains secured in the Boston Field Office Evidence vault as previously reported.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C) / RICCIARDI

On 04/13/11, SA (b)(6),(b)(7 Detective (b)(6),(b and AUSA Heymann interviewed (b)(6),(b)(7)(C) at the U.S. Attorney's office at 1 Courthouse Way, Boston, Massachusetts. Also present were (b)(6 (b)(6),(b and (b)(6),(b)( (b)(6) both attorneys with Fish and Richardson. The details of the interview are described in a Memorandum of Interview written by SA (b)(6),(b)(. A copy of that MOI will be maintained in this case folder.

On 05/12/11, SA (b)(6),(b)( and Detective (b)(6),(b interviewed (b)(6),(b)(7)( at the Free Software Foundation at 51 Franklin Street, 5th Floor, Boston Massachusetts. The details of the interview are described in a Memorandum of Interview written by Detective (b)(6),(b) A copy of the MOI will be maintained in this case folder.

On 06/07/11, 4 Samsung Hard Drives were turned over to SA (b)(6),(b) at the offices of Good and Cormier located at 83 Atlantic Avenue in Boston Massachusetts.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron H. - SUSPECT
1599: Yes
1599A: No

(b)(6),(b)( (b)(6),(b)(7)(C) - SUBJECT
1599: No
1599A: No

(b)(6),(b)( (b)(6),(b) - SUBJECT
1599: No
1599A: No

EXAMS CONDUCTED:

ECSAP: Ongoing
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 81
DATE OF INVENTORY: 02/25/11
INVENTORY MADE BY: SA (b)(6),(b)(
DESCRIPTION OF ITEMS: 16 CD contained in a mailing envelope
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 82
DATE OF INVENTORY: 02/25/11
INVENTORY MADE BY: SA (b)(6),(b)(
DESCRIPTION OF ITEMS: Galaxy Metal Gear Box External Hard Drive
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 119
DATE OF INVENTORY: 06/07/11
INVENTORY MADE BY: SA (b)(6),(b)(

DESCRIPTION OF ITEMS: 4 Samsung Hard Drives
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office ECSAP Vault
DISPOSITION: Held pending judicial action.

All other evidence remains in the Boston Field Office Evidence vault as previously reported.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON (b)(6),(b)(7)(C) RICCIARDI

(b)(6),(b)(7)(C) (BOS)

**From:** SFO
**Sent:** Thursday, June 23, 2011 4:36 PM
**To:** CID: BOS
**Cc:** ISD: SFO
**Subject:** CT 775.510 (102-775-60071-S) REPORT OF IOD - AARON SWARTZ (CLOSED)

//ROUTINE//

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: SAN FRANCISCO FIELD OFFICE
TO: CRIMINAL INVESTIGATION DIVISION
BOSTON FIELD OFFICE
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: 102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

FILE COPY

SUBJECT: REPORT OF IOD

ACTUAL LOSS: $TBD POTENTIAL LOSS: $2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6) (b)(6),(b)( (b)(6),(b)(7)(C)
DATE CASE OPENED: 02/28/11
PREVIOUS REPORT: N/A
REPORTING PERIOD: 02/28/11 - 06/28/11
STATUS: CLOSED

SYNOPSIS:

The Boston Field Office requested the San Francisco Field Office (SFO) to interview (b)(6),(b (b)(6),(b)( and (b)(6),(b)(7)(C) two known associates of Aaron Swartz to determine their knowledge of his activities.

After a discussion with Assistant United States Attorney (AUSA) Stephen Heymann, District of Massachusetts, and case agent (b)(6) (b)(7)(C) (BOS), it was decided that the interview of (b)(6) (b)(7)(C) was not necessary.

(b)(6) (b)(7)(C) was interviewed regarding her knowledge of Aaron Swartz's recent activities.

Case closed in San Francisco.

DETAILS OF INVESTIGATION:

On 3/1/11, I participated in a conference call with SA (b)(6),(b)(7)(C) of the Boston Field Office (BOS) and AUSA Stephen Heymann. During this conversation, SA (b)(6),(b)( and AUSA Heymann requested that I interview (b)(6),(b)(7)(C) first, and a decision on whether to interview (b)(6),(b)(7)(C) would be made at a later date.

On 3/9/11, I responded to (b)(6) (b)(7) residence, (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) with SA (b)(6) (b)(7)(C). At this time, SA (b)(6) (b) and I interviewed (b)(6) (b)(7)(C), (b)(6) (b)(7) stated that she received a phone call from Swartz, who asked her to call his lawyer and arrange bail for him, which she did. (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6),(b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) When (b)(6) (b) was asked about any additional contact with Swartz, she

1599A: [redacted]
PHOTO: No
PRINTS: No
POB:
DL/STATE:
ADDRESS: (b)(6),(b)(7)(C)
EMAIL:
DATABASE CHECKS: 02/24/11

EXAMS CONDUCTED:

None.

DATABASE SEARCHES CONDUCTED:

None

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

None

DISPOSITION:

Case closed in San Francisco.

USSS/SAN FRANCISCO/sd

(b)(6),(b)(7)(C)

and 9,472 editorials.

On 02/11/11, SA (b)(6),(b) ATSAIC (b)(6),(b)(7)(C) SA (b)(6),(b)(7)(C), SA (b)(6),(b)(7)(C) and Detective (b)(6),(b)(7)(C) executed a federal search warrant on Swartz's residence located at 950 Massachusetts Avenue, Apartment 320, Cambridge, Massachusetts. Swartz was home at the time the search was executed. While the search was conducted, Swartz made statements to the effect of, what took you so long, and why didn't you do this earlier? The search team seized several items described in greater detail in the Evidence section of this report. After completing the search of Apartment 320, the search team returned to the first floor to search the storage locker allocated to apartment 320. While on the first floor, SA (b)(6),( observed Swartz leave the building, walk to the street and sprint away after he reached the street. After ascertaining that none of the items in the storage locker belonged to Swartz, the search team moved to 124 Mount Auburn Street in Cambridge, Massachusetts where the Harvard University, Edmond J Safra Center for Ethics is located and Swartz is listed as a lab fellow. The search team observed Swartz at the Safra Center for Ethics. Harvard University Police secured Swartz's office while a federal search warrant was obtained. After the search warrant was obtained, the team searched Swartz's office at the Safra Center. SA (b)(6),(b) seized and Apple iMac computer and a Western Digital hard drive from Swartz's office.

On 02/25/11, Detective (b)(6),( received the Acer Aspire netbook, hard drive enclosure, Western Digital hard drive contained in the enclosure, and the USB flash drive from Cambridge Police (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) Detective (b)(6),(b)( took the evidence items directly to the ECSAP Lab Evidence Vault.

SUSPECTS/DEFENDANTS:

SWARTZ, Aaron H - SUSPECT
1599: Yes
1599A: No

(b)(6),(b)(7)(C) - SUBJECT
AKA: (b)(6),(b)(7)(C)
RACE:
SEX:
DOB:
SSN: (b)(6),(b)( -6047
FBI: (b)(6),(b)(7)(C)
SID:
HT:
WT:
EYES:
HAIR
1599: No
1599A: No
PHOTO: No
PRINTS: No
POB:
DL/STATE:
ADDRESS: (b)(6),(b)(7)(C)
EMAIL:
DATABASE CHECKS: 02/24/11

(b)(6),(b)(7)(C) - SUBJECT
AKA: N/A
RACE: (b)(6),(b)

SEX: (b)(6) (b)(7)(C)
DOB:
SSN: (b)(6) (b) -5939
FBI: N/A
SID: N/A
HT: N/A
WT: N/A
(b)(6) (b)(7)(C)
1599: No
1599A: No
PHOTO: No
PRINTS: No
POB:
DL/STATE:
ADDRESS: (b)(6),(b)(7)(C)
EMAIL:
DATABASE CHECKS: 02/24/11

EXAMS CONDUCTED:

ECSAP: Ongoing
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 31
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(
DESCRIPTION OF ITEMS: Scientific Atlantic Modem SN# SM1565968
Apple Multi Adapter SN# 6F9395M7ZU6
Apple Multi Adapter SN# 6F7281NNU4S
Black Notebook Journal
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 32
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6),(
DESCRIPTION OF ITEMS: Harvard University Earning Statement
Earning Statement Addressed to Aaron Swartz
Master's Thesis M-876 Lind
Western Digital Mail-in Rebate Form
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 33

DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)(
DESCRIPTION OF ITEMS: Wireless-G 2.4 GHz Broadband Router Linksys
MacBook Mac OSX Install Disc one and two
MacBook User Guide in Gray Cardboard case
Apple Care Service Letter Dispatch R&276408
Genius Bar Work Confirmation
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 34
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)(
DESCRIPTION OF ITEMS: Nokia Cell Phone
T-Mobile, HTC G2 cell phone
12 Magnetic Media Tapes
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 35
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)(
DESCRIPTION OF ITEMS: Metallic Blue iPod
White iPod with carrying case
White iPod with serial number 8A6330856UX8A
White iTalk
Black 16GB Thumb-drive
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 36
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)(
DESCRIPTION OF ITEMS: Fifty-four miscellaneous compact discs
Two hard drive enclosures
T-Mobile Sidekick
Sony Micro Vault
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 37
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)(
DESCRIPTION OF ITEMS: DVD-R with handwritten label
Pocket notebook
Pure Drive Quick start guide
Disk utility internal hard drive upgrade kit
Seagate Barracuda Hard Drive Installation Guide
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 38
DATE OF INVENTORY: 02/11/11

# NEW ENGLAND ECTF CASE OPENING SHEET

TITLE: AARON SWARTZ

FILE NUMBER: 102-775-60071

NCIC: 01/07/11 QH 01/07/11 QR 01/07/11 STATE: 01/07/11 BOP: 01/07/11

SA ASSIGNED: (b)(6),(b)(7)(C) DATE ASSIGNED: _01/04/11_

PRIMARY CASE TYPE: 775.510 Unauthorized Access LOCATION: Cambridge, MA

SECONDARY CASE: 848.191; 848.304; 848.930

FRAUD LOSS: ACTUAL: $TBD POTENTIAL: $50,000.00

ELECTRONIC BANKING/CONSUMER ELECTRONIC FUNDS TRANSFER:
ATM _____ DIRECT DEPOSIT _____ PAY-BY-PHONE _____
COMPUTER BANKING _____ POINT OF SALE TRANSFER _____

COMPUTER FRAUD:
FED _____ STATE _____ PRIVATE ____X____

TELECOMMUNICATIONS/WIRE FRAUD:
CELL_____ WIRE ___X___ PAGER ____ CREDIT CALLING CARDS ___

ACCESS DEVICE:
FINANCIAL _____ PERSONAL _____ ELECTRONIC _____

IDENTIFICATION/SOFTWARE PROLIFERATION:
SOFTWARE DISTRIBUTION _____ PLANT/PRODUCTION _____

ECTF MEMBER

_____AGENCY _____CHARGE

CRS INITIAL / DATE_____
ASSET FORFEITURE POTENTIAL REVIEW

APPROVED

(b)(6),(b)(7)(C)

ATSAIC SIGNATURE

2011

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000038

EVIDENCE HELD AGAINST
SWARTZ AARON

☐ SPECIMEN

CASE NO.
102-775-0060071-S

OFFICE
BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT 2/11/11 DATE

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS 2/11/11 DATE

SIGNATURE 2/11/11 DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 124 MOUNT AUBURN STREET, SUITE 520N, OFFICE 504, CAMBRIDGE, MA. THESE ITEMS HAVE BEEN MARKED AND DATED "MP 2/11/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | APPLE IMAC, MODEL A1311<br>SERIAL NUMBERS:<br>RB025AXGD87 | $800.00 |
| 2 | 02/11/11 | 1 | WESTERN DIGITAL HARD DRIVE, MODEL WD1200<br>SERIAL NUMBERS:<br>WMAWN1006724 | $60.00 |
| | | | TOTAL | $860.00 |

1 Rec.

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/86)

Casefile

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000038 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO. | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-2 | 2-11-11 | TO ECSAP lab for exam | | (b)(6),(b)(7)(C) |
| all | 11/21/11 | TO SA (b)(6),(b)(7)(C) discovery | | |
| 1 | 11/21/11 | TO Boston Escap lab | | |
| 2 | 11/21/11 | TO Boston Vault | | |
| 1 | 11/23/11 | Det (b)(6) (b)(7)(C) Harvard PD | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/98)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000031

EVIDENCE HELD AGAINST
SWARTZ AARON

☐ SPECIMEN

CASE NO.
102-775-0060071-S

OFFICE
BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

SIGNATURE - SPECIAL AGENT — DATE 2/11/11

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS — DATE 2/11/11

(b)(6),(b)(7)(C)

SIGNATURE — DATE 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date

SIGNATURE - FORENSIC SERVICES DIVISION — DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT# 320 BOSTON, MA. | |
| | | | THESE ITEMS ARE MARKED "AB 2/11/11" FOR IDENTIFICATION. AB IDENTIFIES SA (b)(6),( BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | SCIENTIFIC ATLANTIC MODEM, BLACK, WITH POWER CORD, S/N # SM1565968, LOCATED IN CORNER OF LIVING ROOM | $20.00 |
| 2 | 02/11/11 | 1 | APPLE MULTI ADAPTER, WHITE, S/N # 6F9395M7ZU6, LOCATED IN CORNER OF LIVING ROOM | $20.00 |
| 3 | 02/11/11 | 1 | APPLE MULTI ADAPTER, WHITE, S/N # 6F7281HNU4S, LOCATED IN BEDROOM | $20.00 |
| 4 | 02/11/11 | 1 | BLACK NOTEBOOK JOURNAL, UNLINED LOCATED IN BEDROOM | NO VALUE |
| | | | TOTAL | $60.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/98)

FINAL JAB

23

Casefile

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000031 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO. | DATE | ACTION | REGISTRY NUMBER(S) | (b)(6),(b)(7)(C) |
|---|---|---|---|---|
| 1-4 | 2/11/11 | TO BFO VAULT | | |
| 2/11 | "/2/11 | TO SA (b)(6),(b)(7)(C) / discovery | | |
| 1+4 | "/21/11 | Returned to attorney Martin Weinberg | | |
| 2+3 | "/21/11 | TO BFO Vault | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/98)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000082

EVIDENCE HELD AGAINST
SWARTZ AARON

☐ SPECIMEN

CASE NO.
102-775-0060071-S

OFFICE
BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)
SIGNATURE - SPECIAL AGENT
2/11/11
DATE

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

(b)(6),(b)(7)(C)
SIGNATURE - WITNESS
2-11-11
DATE

(b)(6),(b)(7)(C)
SIGNATURE
2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| 1 | 02/11/11 | 1 | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.<br><br>THIS ITEM HAS BEEN MARKED "MP 2/11/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BFO.<br>GALAXY METAL GEAR BOX EXTERNAL HARD DRIVE | $50.00 |
| | | | TOTAL | $50.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/86)

FINAL JAB

Casefile

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000082 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1 | 2/11/11 | TO BFO Vault | | (b)(6),(b)(7)(C) |
| 1 | 11/21/11 | TO SA (b)(6),(b)(7)(C) /discovery | | |
| 1 | 11/21/11 | to lab | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/99)

23

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER: 102 2011 CE 000034

EVIDENCE HELD AGAINST: SWARTZ AARON

☐ SPECIMEN

CASE NO.: 102-775-0060071-S

OFFICE: BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

SIGNATURE - SPECIAL AGENT — DATE: 2/11/11

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS — DATE: 2/11/11

DATE OF INVENTORY: 02/11/11

PAGE 1 OF 2 PAGES

REVIEWING SUPERVISOR: (b)(6),(b)(7)(C)

SIGNATURE — DATE: 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION — DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING S(b)(6),(b)(7)( OF THE BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | NOKIA CELL PHONE W/POWER CORD, BLACK IN COLOR, AT&T BRAND, LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| 2 | 02/11/11 | 1 | T MOBILE, HTC G2 CELL PHONE W/POWER CORD, LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| 3 | 02/11/11 | 12 | (12) MAGNETIC MEDIA TAPES IN A FEDEX BOX, LOCATED IN THE LIVINGROOM CLOSET OF THE RESIDENCE. | NO VALUE |
| | | | TOTAL | NO VALUE |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/88)

FINAL JAB

casefile

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000034 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-3 | 2-11-11 | TO BFO vault | | (b)(6),(b)(7)(C) |
| 1-2 | 2-14-11 | TO ECSAP lab | | |
| all | 11/2/11 | TO SA (b)(6),(b)(7)(C) / discovery | | |
| all | 11/2/11 | to BFO vault | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/88)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000039

EVIDENCE HELD AGAINST

☐ SPECIMEN

SWARTZ AARON

CASE NO.
102-775-0060071-S

OFFICE
BOSTON FIELD OFFICE

EV (b)(6),(b)(7)(C)

DATE OF INVENTORY
02/25/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT 2/25/11 DATE

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS 2/25/11 DATE

SIGNATURE 2/25/11 DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED EVIDENCE WAS RETRIEVED FROM CAMBRIDGE, MA POLICE DEPARTMENT ON 2/25/11. THESE ITEMS HAVE BEEN MARKED AND DATED "MP 2/25/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE. | |
| 1 | 02/25/11 | 1 | ACER ASPIRE ONE MODEL 532H<br>SERIAL NUMBERS:<br>LUSAK0D0010011001E16<br>01 | $200.00 |
| 2 | 02/25/11 | 1 | ROCKETFISH ENCLOSURE KIT CONTAINING ONE WESTERN DIGITAL 2TB HARD DRIVE<br>SERIAL NUMBERS:<br>WMAZA1626675 | $85.00 |
| 3 | 02/25/11 | 1 | HP USB DRIVE MARKED 00458MKBT1 85102 | $10.00 |
| | | | TOTAL | $295.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/98)

FINAL JAB

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000039 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/25/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO. | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-3 | 2.25.11 | TO ECSAP vault | | (b)(6),(b)(7)(C) |
| all | "/2/11 | TO SA (b)(6),(b)(7)(C) /[illegible] | | |
| all | "/2/11 | TO BFO vault | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/88)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER: 102 2011 CE 000033

EVIDENCE HELD AGAINST: SWARTZ AARON

☐ SPECIMEN

CASE NO.: 102-775-0060071-8

OFFICE: BOSTON FIELD OFFICE

DATE OF INVENTORY: 02/11/11

PAGE 1 OF 3 PAGES

EVI (b)(6),(b)(7)(C)

SIGNATURE - SPECIAL AGENT — DATE 2/11/11

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS — DATE 2/11/11

REVIEWING SUPERVISOR: (b)(6),(b)(7)(C)

SIGNATURE — DATE 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION — DATE

| ITEM NO | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA. | |
| | | | THESE ITEMS ARE MARKED "AB 2/11/11" FOR IDENTIFICATION PURPOSES. AB IDENTIFIES SA (b)(6),(b)(7)( BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | WIRELESS-G 2.4 GHZ BROADBAND ROUTER LINKSYS; BLUE AND BLACK, S/N # CDFG1G609626 LOCATED IN THE LINVING ROOM | $30.00 |
| 2 | 02/11/11 | 1 | MACBOOK MAC OSX INSTALL DISC 1 AND 2, GRAY, IN PAPER SLEEVE, LOCATED IN THE LIVING ROOM | $10.00 |
| 3 | 02/11/11 | 1 | MACBOOK USER GUIDE IN GRAY CARDBOARD CASE, S/N # 2V09082006, LOCATED IN THE LIVING ROOM | $10.00 |
| 4 | 02/11/11 | 1 | APPLE CARE SERVICE LETTER DISPATCH #R7276408 (5 PAGES), LOCATED IN THE LIVING ROOM | NO VALUE |
| 5 | 02/11/11 | 1 | GENIUS BAR WORK CONFIRMATION (2 PAGES) REPAIR # R7276313 AND R7276408, LOCATED IN THE LIVING ROOM | NO VALUE |
| | | | TOTAL | $50.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/88)

FINAL JAB

Casefile

**CERTIFIED INVENTORY OF EVIDENCE**
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000033



DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-8

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | BROUGHT FORWARD | $50.00 |
| 6 | 02/11/11 | 1 | DIGITAL MEDIA COMPACT FLASG 256MB SIMPLE TECH, LOCATED IN THE LIVING ROOM BOOKCASE | $5.00 |
| | | | TOTAL | $55.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544A (03/86)

FINAL JAB

RIF

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000033 | PAGE 3 OF 3 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO. | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-6 | 2/11 | To BFO vault | | (b)(6),(b)(7)(C) |
| all | 11/21/11 | To SA (b)(6),(b)(7)(C) / discovery | | |
| 1 | 11/21/11 | Returned to Attorney Martin Weinberg | | |
| 2-6 | 11/21/11 | Secured at BFO vault | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/08)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000036

EVIDENCE HELD AGAINST ☐ SPECIMEN

SWARTZ AARON

CASE NO
102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY
(b)(6),(b)(7)(C)
SIGNATURE - SPECIAL AGENT — DATE 2/11/11

(b)(6),(b)(7)(C)
SIGNATURE - WITNESS — DATE 2/11/11

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

REVIEWING SUPERVISOR
(b)(6),(b)(7)(C)
SIGNATURE — DATE 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION — DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE. APT #320, BOSTON, MA. | |
| | | | THESE ITEMS ARE MARKED "AB 2/11/11" FOR IDENTIFICATION PURPOSES. AB IDENTIFIES SA (b)(6),(b)(7)(C) BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 40 | FORTY MISC CD-R DISCS WITH CASES AND MISC PAPERWORK, LOCATED IN THE BEDROOM, | $40.00 |
| 2 | 02/11/11 | 1 | TWO HARD DRIVE ENCLOSURES, LOCATED IN THE LIVING ROOM CLOSET | $2.00 |
| 3 | 02/11/11 | 1 | T MOBILE SIDEKICK, LOCATED IN THE LIVING ROOM CLOSET | NO VALUE |
| 4 | 02/11/11 | 1 | SONY MICRO VAULT, ORANGE, LOCATED IN WALLET | $5.00 |
| | | | TOTAL | $47.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/88)

FINAL JAB

Casefile.

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000036 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO. | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-4 | 2 11 11 | TO BFO VAULT | | (b)(6),(b)(7)(C) |
| 4 | 5/18/11 | TO ESCOP lab | | |
| all | 11/2/11 | TO SA (b)(6),(b)(7)(C) / discovery | | |
| 11/21 #1 | 39 disks | TO Marlin Weinberg, ATTNY | | |
| #2 | 1 of 2 | Maxtor Harddrive enclosure | | |
| #2 | 2 of 2 | Returned to Attorney Marlin Weinberg | | |
| #1 | disk 40 | TO lab | | |
| 2-4 | 11/2/11 | TO BFO Vault | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/88)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER: 102 2011 CE 000037

EVIDENCE HELD AGAINST: SWARTZ AARON

☐ SPECIMEN

CASE NO. 102-775-0060071-S

OFFICE: BOSTON FIELD OFFICE

EVI (b)(6),(b)(7)(C)

SIGNATURE - SPECIAL AGENT — DATE 2/11/11

DATE OF INVENTORY: 02/11/11

PAGE 1 OF 3 PAGES

REVIEWING SUPERVISOR (b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS — DATE 2/11/11

SIGNATURE — DATE 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION — DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA. | |
| | | | THESE ITEMS ARE MARKED "AB 2/11/11" FOR IDENTIFICATION PURPOSES. AB IDENTIFIES SA (b)(6),(b)(7)( BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | "OFFICE DEPOT" DVD&R; HANDWRITTEN LABEL "BIBLIOGRAPHIC DATA WHICH MYSTERIOUSLY APPEARED ONE DAY AS THE SUN WAS SHINING", LOCATED IN THE BEDROOM | $5.00 |
| 2 | 02/11/11 | 1 | POCKET NOTEBOOK WITH BLUE AND WHITE HEXAGON AND RECTANGLE DESIGN COVER. LOCATED IN THE BEDROOM | NO VALUE |
| 3 | 02/11/11 | 1 | PURE DRIVE MODEL 761 EXTERNAL HARD DRIVE QUICK START GUIDE WITH CD, CONTAINED IN PLASTIC BAG, LOCATED IN THE BEDROOM | $20.00 |
| 4 | 02/11/11 | 1 | DISC UTILITY INTERNAL HARD DRIVE UPGRADE KIT, YELLOW AND ORANGE CD IN WHITE PAPER CASE, LOCATED IN THE BEDROOM | $20.00 |
| | | | TOTAL | $45.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/99)

FINAL JAB

CASE FILE

**CERTIFIED INVENTORY OF EVIDENCE**
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000037



DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-8

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | BROUGHT FORWARD | $45.00 |
| 5 | 02/11/11 | 1 | SEAGATE SATA 3.5" BARRACUDA INTERNAL HARD DRIVE INSTALLATION GUIDE, LOCATED IN THE BEDROOM | $5.00 |
| | | | TOTAL | $50.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544A (03/88)

FINAL JAB

RIF

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000037 | PAGE 3 OF 3 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO. | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-5 | 2/11-11 | TO BFO VAULT | | (b)(6),(b)(7)(C) |
| #1 | 11/21/11 | TO SA (b)(6),(b)(7)(C) / discovery | | |
| 3,4,5 | 11/21/11 | Returned to Martin Weinberg, ATTY | | |
| #2 | | Copies provided | | |
| #2 | 11/21/11 | TO lab | | |
| 1 | 11/21/11 | TO BFO Vault | | |
| #2 | 11/30/11 | TO BFO vault | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/88)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000119

EVIDENCE HELD AGAINST
SWARTZ AARON

☐ SPECIMEN

CASE NO
102-775-0060071-S

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY
(b)(6),(b)(7)(C)
SIGNATURE - SPECIAL AGENT
(b)(6),(b)(7)(C)
6/7/11
DATE

(b)(6),(b)(7)(C)
SIGNATURE - WITNESS
(b)(6),(b)(7)(C)
6/7/11
DATE

DATE OF INVENTORY
06/07/11

PAGE 1 OF 2 PAGES

REVIEWING SUPERVISOR
(b)(6),(b)(7)(C)
SIGNATURE
6/7/11
DATE

I certify the evidence described in the page(s) of this inventory not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED EVIDENCE WAS RECEIVED BY SA (b)(6),(b)(7)(C) BFO, FROM THE LAW OFFICES OF GOOD & CORMIER, 83 ATLANTIC AVENUE, BOSTON, MA., ON 6/7/11. THIS EVIDENCE WAS TURNED OVER BY SUSPECT AARON SWARTZ. THESE ITEMS HAVE BEEN MARKED AND DATED "MP 6/7/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE. | |
| 1 | 06/07/11 | 1 | SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800326 | $25.00 |
| 2 | 06/07/11 | 1 | SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800329 | $25.00 |
| 3 | 06/07/11 | 1 | SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800331 | $25.00 |
| 4 | 06/07/11 | 1 | SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800332 | $25.00 |
| | | | TOTAL | $100.00 |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/86)

FINAL JAB

Original

15

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000119 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 06/07/11 | OFFICE BOSTON FIELD OFFICE | CASE NO. 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-4 | 6.7.11 | TO BFO Vault | | (b)(6),(b)(7)(C) |
| 1-4 | 6/21/11 | To ECSAP Vault | | |
| 9/11 | 11/21/11 | TO [redacted] (b)(6),(b)(7)(C) /discover | | |
| 9/11 | 11/21/11 | TO BFO Vault | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

UNITED STATES SECRET SERVICE

SSF 1544 (03/86)

# CERTIFIED INVENTORY OF PERSONAL PROPERTY

SERIAL NUMBER: 15287

NAME OF SUBJECT: Aaron Swartz

CASE NO: 102-775-60071

OFFICE CONDUCTING INVENTORY: BOS / 102

DATE OF INVENTORY: 6/7/11

PAGE 1 OF 1 PAGES

SIGNATURES OF PERSONS INVENTORYING BELOW LISTED PROPERTY

1. (b)(6),(b)(7)(C)

2. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)

TIME RECEIVED: 1312

DATE RECEIVED: 6/7/11

| ITEM # | QUANTITY | DESCRIPTION OF PROPERTY |
|---|---|---|
| 1 | 1 | Samsung HDD S/N S1Y6J1CZ800326 |
| 2 | 1 | Samsung HDD S/N S1Y6J1CZ800329 |
| 3 | 1 | Samsung HDD S/N S1Y6J1CZ800331 |
| 4 | 1 | Samsung HDD S/N S1Y6J1CZ800332 |

I hereby acknowledge relinquishing the above listed property and have been informed that I have thirty (30) days from the date of this notice to reclaim my property or designate someone in writing to receive it. If after thirty (30) days, the property has not been claimed, the U.S. Secret Service will commence abandonment procedures. **(THIS NOTICE WILL SERVE AS YOUR ONLY NOTICE.)**

SIGNATURE OF SUBJECT:

## RETURN OF PROPERTY

I ACKNOWLEDGE RECEIPT OF THE ABOVE LISTED PROPERTY WITH THE FOLLOWING EXCEPTIONS

☐ NONE (List items by number)

SIGNATURE OF SUBJECT

SIGNATURES OF PERSONS CERTIFYING RETURN OF PROPERTY

1.

2.

TIME RECEIVED:

DATE RECEIVED:

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

A TO SUBJECT UPON EXECUTION

SSF 3051 (08/2002)

Part I

MCI(311)
CCTRL D CASE NUMBER: 102-775-0060071-S

FINANCIAL CRIMES INVESTIGATION

CC NR: 1

CASE.TYPE: 775.51
BARS CASE(Y/N): N
OTHER NON-PRIORI
CPG TYPE: 848960

OFF: 102 CASE TITLE: AARON SWARTZ

PRIMARY UNITS ---LOCATION------ ST CITY
00000001 MA CAMBRIDGE

CCTRL HAS ADDITIONAL SECONDARY

RE-OPEN

FIELD ORIG IOD ZONE TRANSF
X

AGENT FOR CASE CREDIT
(b)(6),(b)(7)(C)

AGENT ID NR
(b)(2),(b)(7

AGENT OFF 102
AGENT OFF 102
1823 DATE ASSIGNED 010411
DATE CLOSED MMDDYY
DOLLAR AMOUN CURRENT POTENTIAL LO 0000050000
CURRENT ACTUAL LOSS

NUMBER OF DEVICES:

AC:

HEADQUARTERS:

OFFENDER IDENTIFIED (Y OR N):

REMARKS:

JUDICIAL ACTION---BLANKET SPECIF
ACCEPT DECLINE DECLIN
FEDERAL:
STATE :

F3 CASE
F4 TICK
F5 SECD
F6 JUST

F9 MENU
F10 EXIT

DATE EST 011911
DATE CPD 011911

# PERSONAL HISTORY SUMMARY

CASE NO: 102-775-60071

UNKNOWN ☐ SUBJECT ☐

SUBJECT (LAST FIRST MI): SWARTZ, AARON, MNU

AFFIX/TITLE:

ARMED AND DANGEROUS:

ALIAS/NICKNAME (LAST FIRST MI):

ALIAS/NICKNAME (LAST FIRST MI):

ALIAS/NICKNAME (LAST FIRST MI):

ALIAS/NICKNAME (LAST FIRST MI):

SEX: ☒ MALE (M) ☐ FEMALE (F)

RACE: ☐ ASIAN/PACIFIC ISLANDER (A) ☐ BLACK (B) ☒ WHITE (W) ☐ AMERICAN INDIAN/ALASKAN NATIVE (I)

HEIGHT: 5 FT 6 IN

WEIGHT: 120

EYES: ☐ BLUE (BLU) ☒ BROWN (BRO) ☐ GREEN (GRN) ☐ BLACK (BLK) ☐ HAZEL (HAZ) ☐ GRAY (GRY) ☐ PINK (PNK) ☐ MAROON (MAR)

HAIR: ☒ BROWN (BRO) ☐ BLOND (BLN) ☐ BLACK (BLK) ☐ SANDY (SDY) ☐ GRAY (GRY) ☐ WHITE (WHI) ☐ RED (RED) ☐ BALD (BAL)

DOB: MM 11 DD 08 YY 86

EST YEAR OF BIRTH (IF DOB not known):

POB (CITY/STATE/COUNTRY): CHICAGO, IL

DOB: MM DD YY

DOB: MM DD YY

INTEREST:
☐ APPLICANT (1)
☐ EMPLOYEE (2)
☐ CONTRACT EMPLOYEE (3)
☐ PAYEE (4)
☐ REGISTERED OWNER (5)
☐ ENDORSER (6)
☐ REQUESTER (7)
☐ COMPLAINANT (8)
☐ PLAINTIFF (9)
☐ VICTIM (10)
☐ WITNESS (11)
☐ SUSPECT (12)
☒ DEFENDANT (13)
☐ WANTED FOR QUESTIONING (14)
☐ WANTED WARRANT (15)
☐ FUGITIVE (16)
☐ PROTECTEE (17)
☐ SUPPLY HOUSE CANVASS (18)
☐ SUBJECT (19)
☐ EX-MAJOR OFFENDER (20)
☐ HQ USE ONLY (21-27)
☐ CARDHOLDER (28)
☑ DECEASED

OCCUPATION: RESEARCHER

OCCUPATION:

OCCUPATION:

KNOWN TO AGENT (LAST, FIRST MI): (b)(6),(b)(7)(C)

ID NO: (b)(2),(b)(7)

SSN: (b)(6) (b)(7)(C) -0493

SSN: (b)(6) (b)(7)(C) -1374

SSN:

FBI NO: 675304KDO

MILITARY SERVICE NO./BRANCH:

DATES OF DUTY:

TYPE OF DISCHARGE:

VA CLAIM NO:

PASSPORT (COUNTRY/NO.): (b)(6) (b)(7)(C)

ID NO./STATE LOCAL P.D.: MA10556559

LOCAL P.D. NO:

LOCAL P.D. NO:

DRIVERS LICENSE (STATE/NO.): UNK

DRIVERS LICENSE (STATE/NO.):

SCARS (DESCRIBE):

TATTOOS (DESCRIBE):

SPEECH DEFECT (EXPLAIN):

ACCENT (DESCRIBE):

MISSING LIMB (DESCRIBE):

PHYSICAL DEFORMITY (DESCRIBE):

ADDICT (SPECIFY):

HANDWRITING: MM DD YY

PHOTOGRAPH: MM 01 DD 04 YY 11

FINGERPRINTS: MM 01 DD 04 YY 11

PALMPRINTS: MM DD YY

INS ALIEN NO:

MISC. NO:

MISC. NO:

OTHER AGENCY NO:

UNITED STATES SECRET SERVICE

USF 1599 (10/90)

Case No: 102-775-60071

| BANK/CREDIT NAME | ACCOUNT NO | ADDRESS |
|---|---|---|
| NAME | ACCOUNT NO | ADDRESS |

| HOBBY/SKILLS | | ORGANIZATIONS/AFFILIATIONS/OTHER REMARKS |
|---|---|---|
| | | |

RESIDENCE ADDRESS

| NUMBER | STREET | CITY | STATE | ZIP CODE | CTRY |
|---|---|---|---|---|---|

| PHONE AREA CODE | NUMBER | EXT | PHONE AREA CODE | NUMBER | EXT | REMARKS |
|---|---|---|---|---|---|---|

SECONDARY ADDRESS

| NUMBER | STREET | CITY | STATE | ZIP CODE | CTRY |
|---|---|---|---|---|---|

| PHONE AREA CODE | NUMBER | EXT | PHONE AREA CODE | NUMBER | EXT | REMARKS |
|---|---|---|---|---|---|---|

VEHICLE OWNED/USED/LEASED

| TAG NO | STATE | EXPIRATION MM YY | YEAR | MAKE | MODEL/STYLE | COLOR | VIN |
|---|---|---|---|---|---|---|---|
| | | | | | | | REMARKS |
| TAG NO | STATE | EXPIRATION MM YY | YEAR | MAKE | MODEL/STYLE | COLOR | VIN |
| | | | | | | | REMARKS |

| EMPLOYER NAME | EMPLOYMENT DATES | DUTIES PERFORMED |
|---|---|---|
| HARVARD UNIVERSITY | | RESEARCHER |
| PHONE: AREA CODE / NUMBER / EXT | ADDRESS: NUMBER / STREET / CITY / STATE / ZIP CODE / CTRY | SUPERVISOR'S NAME |
| EMPLOYER NAME | EMPLOYMENT DATES | DUTIES PERFORMED |
| PHONE AREA CODE / NUMBER / EXT | ADDRESS: NUMBER / STREET / CITY / STATE / ZIP CODE / CTRY | SUPERVISOR'S NAME |

RELATIVES AND/OR ASSOCIATES

| RELATIONSHIP | NAME | ADDRESS | AREA CODE/PHONE NO |
|---|---|---|---|
| FATHER | (b)(6),(b)(7)(C) | | |
| MOTHER | | | |
| | | | |
| | | | |
| | | | |

LAST ARREST DATE/LOCATION/AGENCY/OFFENSE

NCIC WANTS OR WARRANTS (Date Check Made) MM DD YY: 0 1 0 7 1 1

OTHER WANTS OR WARRANTS (Specify - Agency) (Date Check Made) MM DD YY

DECLINATION

FEDERAL ☐ BLANKET ☐ SPECIFIC

STATE ☐ BLANKET ☐ SPECIFIC

SPECIAL AGENT COMPLETING FORM: (b)(6),(b)(7)(C)

DATE FORM COMPLETED: 01/18/11

UNITED STATES SECRET SERVICE

SSF 1599 (10/90)

# Judicial Action Summary

Case No: 102-775-60071

Defendant's Name (last, first, mi): SWARTZ, AARON, MNU

Arrest Date: MM 07 DD 19 YY 11

Office No: 102

Offense Case Type: 775.510

Agent for Arrest Credit: (b)(6),(b)(7)(C)

ID No: (b)(2),(b)

1823 off:

SA off: 102

Initial Appearance: ☐ Dismiss ☐ Held

Judicial District: BOSTON

Name of Judge/Magistrate: JUDGE JUDITH G. DEIN

Appearance Date: MM 07 DD 19 YY 11

Preliminary Hearing/Arraignment: ☐ Dismiss ☐ Held ☒ Continued

Name of Judge/Magistrate: JUDGE JUDITH G. DEIN

Bail: $100,000.00

Appearance Date: MM 07 DD 19 YY 11

Grand Jury: ☐ No Bill ☒ True Bill

Grand Jury Judicial District: BOSTON

Grand Jury Date: MM 07 DD 14 YY 11

Trial Court: ☐ Federal ☐ State ☐ Municipal ☐ Ct Martial

Judge/Magistrate:

Appearance Date: MM DD YY

Final Plea Entered: ☐ Guilty ☐ Not Guilty ☐ Nolo Contendere ☐ Civil ☐ Other (explain)

Disposition: ☐ Conviction ☐ Misdemeanor ☐ Felony ☐ Acquitted ☐ Nolle Pros ☐ Other (explain)

Agent for Disposition Credit:

ID Number:

1823 off:

SA off:

Trial Court Judicial District:

Sentence: YY MM DD

Suspended: YY MM DD

Probation: YY MM DD

Probation Start Date: MM DD YYYY

Fine $ Suspended $ Restitution $

Sentence/Disposition Date: MM DD YY

Disposition Office Number:

Sentencing Judge:

Terms of Sentence:

Agent Assisting in Arrest:

Agent Assisting in Arrest:

Agent Assisting in Arrest:

Violation/Description: 18 USC 1343 Wire Fraud; 18 USC 1030 (a) (4) Computer Fraud; 18 USC 1030 (a) (2) Theft of Information; 18 USC 1030 (a) (5) (B) Recklessly Damaging a Computer; 18 USC 2 Aiding and Abetting

Violation: ☒ Federal ☐ State

Remarks (i.e. scheduled court appearances, bond, etc.):

RECEIVED US SECRET SERVICE 2011 NOV 29 P 3:53 BOSTON, MA

UNITED STATES GOVERNMI

MEMORANDI

Date: August 1, 2011

U. S. Secret Service

REPLY TO ATTN OF: SAIC - Boston

File: J-102-775-60071-S

SUBJECT: Photograph

TO: SAIC - Investigative Support Division

Attached please find a photograph of *Aaron Swartz* for your files

(b)(6),(b)(7)(C)

For Steven D Ricciardi
Special Agent in Charge

United States Marshals Service - LIMITED OFFICIAL USE

# Booking Package Photos

USMS NUMBER: 94045-038
ORI: MAUSM0100

Date of Arrest: 07/19/2011
FBI Number:

Last Name: SWARTZ
First Name: AARON
Middle Name:

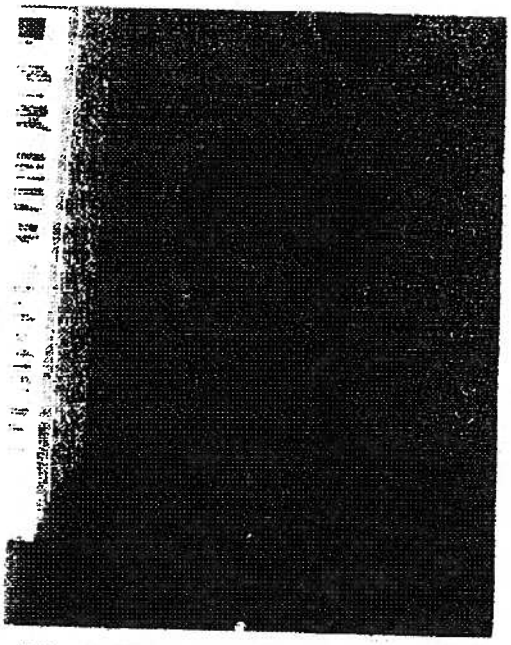
Sex: M
Race Code: W
DOB: 11/08/1986

Height: 5'06"
Weight: 130 LBS

Eye Color: BRO
Hair Color: BLK

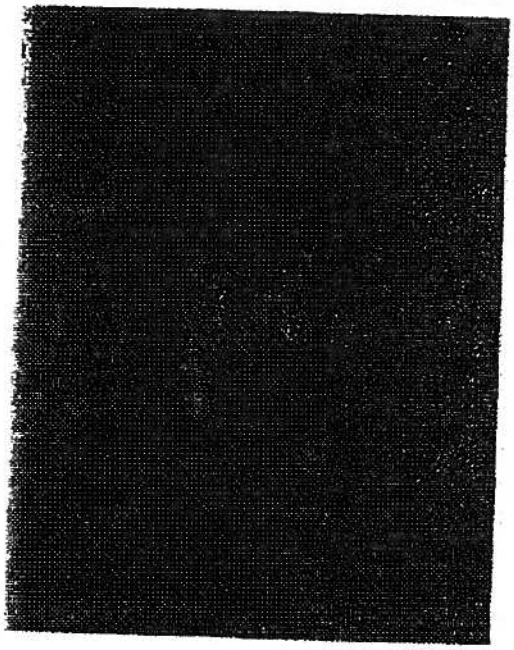
View : FRONT

Date Taken: 07/19/2011



View : RIGHT

Date Taken: 07/19/2011



**Limited Official Use**
This Information is the Property of the U.S. Marshals Service and Shall Not be Publicly Released or Disseminated Without U.S. Marshals Service Authority.



RIF

# Booking Package Photos

**USMS NUMBER:** 94045-038
**ORI:** MAUSM0100

**Date of Arrest:** 07/19/2011
**FBI Number:**

**Last Name:** SWARTZ
**First Name:** AARON
**Middle Name:**

**Sex:** M
**Race Code:** W
**DOB:** 11/08/1986

**Height:** 5'06"
**Weight:** 130 LBS

**Eye Color:** BRO
**Hair Color:** BLK

**Limited Official Use**
This Information is the Property of the U S. Marshals Service and Shall Not be Publicly Released or Disseminated Without U.S. Marshals Service Authority.



RIF

UNITED STATES GOVERNMENT

# MEMORANDUM

## U.S. SECRET SERVICE

Date: August 1, 2011

File: J-102-775-60071-S

Reply To Attn Of: SAIC - Boston

Subject: Fingerprints of ***Aaron Swartz***

To: SAIC - Forensic Services Division

Attached please find a FD-249 of ***Aaron Swartz*** for your files.

(b)(6) (b)(7)(C)

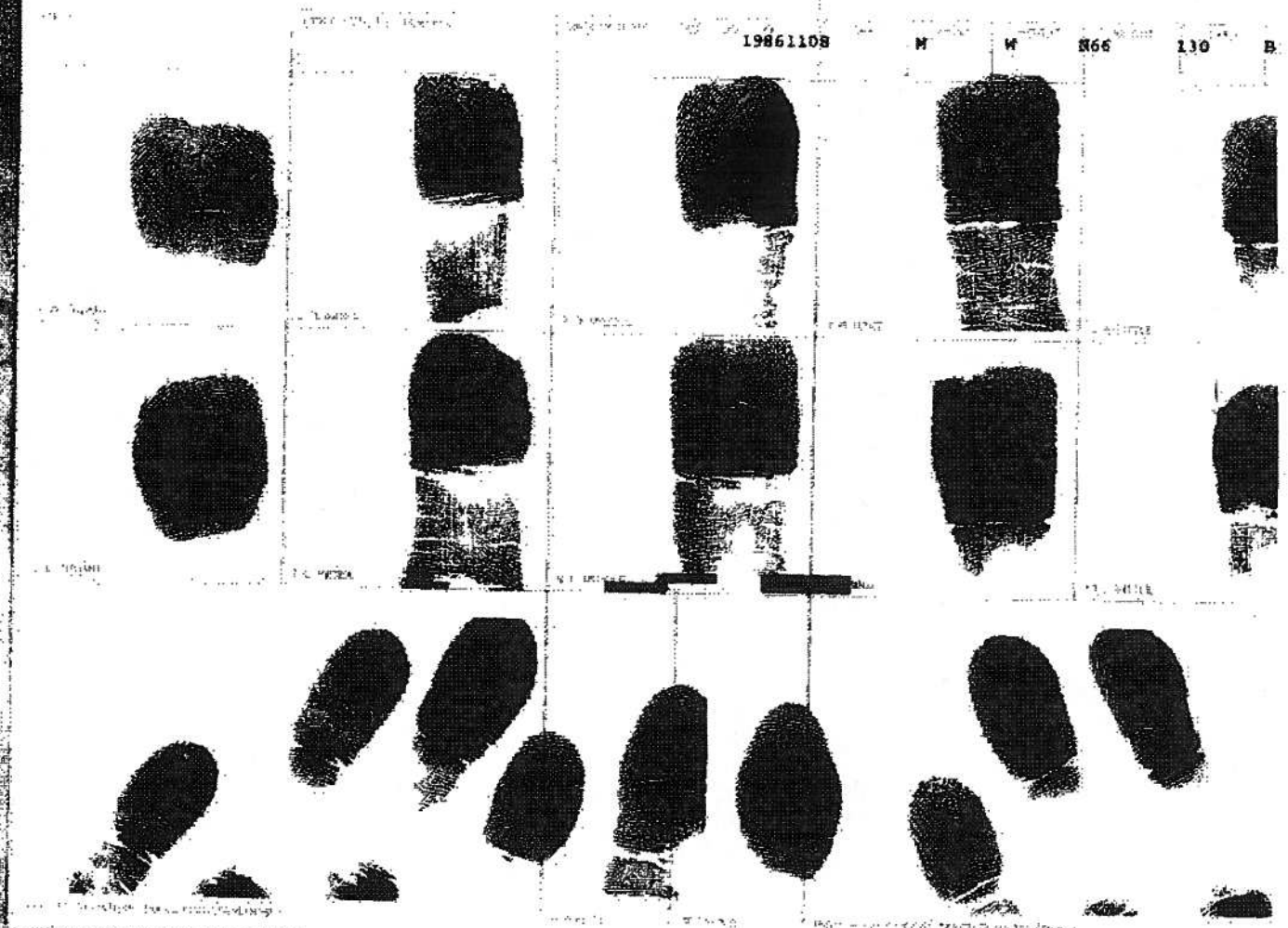
For: Steven D. Ricciardi
Special Agent In Charge

2011

SWARTZ, AARON

(b)(6),(b)(7)(C) 04.93

19861108 M W N66 130 B



MASS10200

UNITED STATES SECRET SERVICE

20110719

20110719 IL US

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

20110719
2600-Fraud By Wire

20110719
2609-Fraud And Abuse - Computer

Medical Concerns

R-84 (Rev 08-31-1999)

# FINAL DISPOSITION REPORT

Leave Blank

**Note:** This vital report must be prepared on each individual whose arrest fingerprints have been forwarded to the FBI Criminal Justice Information Services Division without final disposition noted thereon. If no final disposition is available to arresting agency, complete left side and forward the form when case referred to prosecutor and/or courts. Agency on notice as to final disposition should complete this form and submit to **FBI, CJIS Division, Clarksburg, WV 26306.**

(See instructions on **reverse side**)

FBI No.
**675304KD0**

Name on fingerprint Card Submitted to FBI
Last: **Swartz** First: **Aaron** Middle:

Date of Birth **11/08/86** Sex **M**

Henry Fingerprint Classification
From FBI 1-8 Response

State Bureau No. (SID)
**MA10556559**

Social Security No. (SOC)
(b)(6) (b)(7)(C) **0493**

Contributor of Fingerprints (Include complete name and location of agency together with ORI number.)
**U.S. Secret Service**
**10 Causeway St., Suite 447**
**Boston, MA 02222**
**MASS 10200**

Arrest No. (OCA)

Date Arrested or Received
**7/19/11**

Final Disposition & Date
(If convicted or subject pleaded guilty to lesser charge, include this modification with disposition.)

This Form Submitted By:
(Name, Title, Agency, ORI No., City & State)

Signature Date

Title

☐ COURT ORDERED EXPUNGEMENT:

Certified or Authenticated Copy of Court Order Attached.

Offenses Charged at Arrest

**1343 - Wire Fraud**
**1030 (a) (4) - Computer Fraud**
**1030 (a) (2) - Theft of information from a computer**
**1030 (a) (5) (B) - Recklessly damaging a computer**
**Section 2- Aiding and Abetting**

1107

23

# U. S. Secret Service NCIC/NLETS Response Display

**ORI:** MASS10200

**Response:**

```
FROM(NLETS)  ON 08/01/11 AT 12:38:49    MASS10200


CR.WVFBINF00
09:38 08/01/2011 44413
09:38 08/01/2011 47045 MASS10200
TXT

HDR/2L01-NCIYMASS102000
ATN/(b)(6) (b)(7)(C)
*********************  CRIMINAL HISTORY RECORD  ***********************
Data As Of                2011-08-01
***************************  Introduction  ***************************
This rap sheet was produced in response to the following request:
FBI Number                675304KD0
Request Id
Purpose Code              C
Attention                 (b)(6) (b)(7)(C)
The information in this rap sheet is subject to the following caveats:
This record is based only on the FBI number in your request-675304KD0.
Because additions or deletions may be made at any time, a new copy
should be requested when needed for subsequent use. (US; 2011-08-01)
All arrest entries contained in this FBI record are based on
fingerprint comparisons and pertain to the same individual. (US;
2011-08-01)
The use of this record is regulated by law. It is provided for official
use only and may be used only for the purpose requested. (US;
2011-08-01)
***************************  IDENTIFICATION  ***************************
Subject Name(s)
SWARTZ, AARON H
SWARTZ, AARON  (AKA)
Subject Description
FBI Number                State Id Number
675304KD0                 MA10556559 (MA)
Social Security Number
(b)(6)(b)0493
Sex                       Race
Male                      White
Height                    Weight                    Date of Birth
5'06"                     120                       1986-11-08
Hair Color                Eye Color                 Fingerprint Pattern
Brown                     Brown                     UC UC UC UC UC UC UC UC U
ther)
Place of Birth            Citizenship
IL                        US
Fingerprint Images
Photo Images
Photo Image Available          FBI-CJIS DIV-CLRKSBG CLARKSBURG WVFBINF00
Available Image                Other
(No Photo Image Transmitted
Comment:FBI has two photos associated with
                         arrest date of 2011/07/19)
```

2011

```
Photo Image Available          US SECRET SERVICE BOSTON MASS10200
Available Image                Other
(No Photo Image Transmitted
Comment:Arresting agency has photo associated
                               with arrest date of 2011/07/19)
************************** CRIMINAL HISTORY **************************
============================= Cycle 001 =============================
Earliest Event Date            2011-07-19
----------------------------------------------------------------------
Arrest Date                    2011-07-19
Arrest Case Number
Arresting Agency               MASS10200 US SECRET SERVICE BOSTON
Subject's Name                 SWARTZ,AARON
Charge                         01
        Charge Literal         2608-FRAUD BY WIRE
                Agency         MASS10200 US SECRET SERVICE BOSTON
               Severity
Charge                         02
        Charge Literal         2609-FRAUD AND ABUSE - COMPUTER
                Agency         MASS10200 US SECRET SERVICE BOSTON
               Severity
************************** INDEX OF AGENCIES **************************
Agency                         FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00;
Address

                               1000 CUSTER HOLLOW RD
                               CLARKSBURG, WV 26306
----------------------------------------------------------------------
Agency                         US SECRET SERVICE BOSTON; MASS10200;
Address

                               STE 791 10 CAUSEWAY ST
                               BOSTON, MA 022221080
* * * END OF RECORD * * *
```

<< Previous | Next >> | Cancel

RIF

# U. S. Secret Service NCIC/NLETS Response Display

**ORI:** MASS10200

**Response:**

```
FROM(NLETS)  ON 08/01/11 AT 12:38:50    MASS10200


CR.MAIII0000
09:38 08/01/2011 03098
09:38 08/01/2011 47046 MASS10200
TXT
HDR/2L01-NCIYMASS102000
ATN/(b)(6) (b)(7)(C)
THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-
SID/MA10556559
FBI/675304KD0
PUR/C
NAME:
SWARTZ, AARON H
ADDRESS
(b)(6) (b)(7)(C)
    DOB       SSN      RACE  SEX  HEIGHT  WEIGHT   HAIR  EYE COLOR BIRTH PLACE

19861108             W     M   506     120      BRO       BRO     IL
MISCELLANEOUS NUMBER     CITIZENSHIP   NON-FP-CLASS

EMPLOYER
124 MOUNT AUBURN ST #52 CAMBRIDGE, MA
OCCUPATION
RESEARCH AT HAR

                                   EVENT DATA
ARREST NAME
SWARTZ, AARON H
        AGENCY
CAMBRIDGE PD LS 1
ARREST DATE   CASE NUMBER       OBTN      OFFENSE DATE
20110106                      TCAM201100032
OFFENSES
20110106      B&E DAYTIME FOR FELONY c266 S18
OFFENSES
20110106      B&E DAYTIME FOR FELONY c266 S18
DISPOSITIONS


-----------------------------------------------------------------------
-
***********************************************************************
*
END OF RECORD
```

<< Previous | Next >> | Cancel

# U. S. Secret Service NCIC/NLETS Response Display

**ORI:** MASS10200-0

**Response:**

```
FROM(NCIC)    ON 08/01/11 AT 12:38:51    MASS10200-0


FL01-NCIYMASS102000
MASS10200
THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
RECORD REQUEST FOR FBI/675304KD0. INDIVIDUAL'S RECORD WILL BE
COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:
 FBI              - FBI/675304KD0
 MASSACHUSETTS    - STATE ID/MA10556559
END
```

Next >> | Cancel

RIF

CJIS 988081 02/16/2012 1012 S0751/6950.
UN.
2L01III QUERY
MASS102S0
J-102-775-60071-5
THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR RECORD REQUEST FOR FBI/675304KD0. INDIVIDUAL'S RECORD WILL BE COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:
FBI - FBI/675304KD0
MASSACHUSETTS - STATE ID/MA10556559
END.>-<
CJIS 988081 02/16/2012 1012 S0753/6950.
UL.
CR.WVFBINF00
08:14 02/16/2012 26734
08:14 02/16/2012 10301 MASS102S0
TXT

HDR/2L01 TR
ATN/ (b)(6) (b)(7)(C)
********** CRIMINAL HISTORY RECORD **********
Data As Of 2012-02-16
********** Introduction **********
This rap sheet was produced in response to the following request:
FBI Number 675304KD0
Request Id
Purpose Code C
Attention (b)(6) (b)(7)(C)
The information in this rap sheet is subject to the following caveats:
This record is based only on the FBI number in your request-675304KD0.
Because additions or deletions may be made at any time, a new copy should be requested when needed for subsequent use. (US; 2012-02-16)
All arrest entries contained in this FBI record are based on fingerprint comparisons and pertain to the same individual. (US; 2012-02-16)
The use of this record is regulated by law. It is provided for official use only and may be used only for the purpose requested. (US; 2012-02-16)
********** IDENTIFICATION **********
Subject Name(s)
SWARTZ, AARON H
SWARTZ, AARON (AKA)
Subject Description
FBI Number 675304KD0
State Id Number MA10556559 (MA)
Social Security Number
(b)(6) (b) 0493
Sex Male
Race White
Height 5'06"
Weight 120
Date of Birth 1986-11-08
Hair Color Brown
Eye Color Brown
Fingerprint Pattern UP UC UP UP UP UP UC UP UP UP (O
her)

Citizenship
IL U
Fingerprint Images
Photo Images
Photo Image Available FBI-CJIS DIV-CLRKSBG CLARKSBURG WVFBINF00
Available Im
age Other
(No Photo Image Transmitted
Comment:FBI has two photos associated with
arrest date of 2011/07/19)
Photo Image Available US SECRET SERVICE BOSTON MASS10200
Available Image Other
(No Photo Image Transmitted
Comment:Arresting agency has photo associated
with arrest date of 2011/07/19)

********** CRIMINAL HISTORY **********

========== Cycle 001 ==========

Earliest Event Date 2011-07-19

---

Arrest Date 2011-07-19
Arrest Case Number
Arresting Agency MASS10200 US SECRET SERVICE BOSTON
Subject's Name SWARTZ,AARON
Charge 01
Charge Literal 2608-FRAUD BY WIRE
Agency MASS10200 US SECRET SERVICE BOSTON
Severity
Charge 02
Charge Literal 2609-FRAUD AND ABUSE - COMPUTER
Agency MASS10200 US SECRET SERVICE BOSTON
Severity

********** INDEX OF AGENCIES **********

Agency FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00;
Address

1000 CUSTER HOLLOW RD
CLARKSBURG, WV 26306

---

Agency US SECRET SERVICE BOSTON; MASS10200;
Address

STE 791 10 CAUSEWAY ST
BOSTON, MA 022221080

* * * END OF RECORD * * *

RIF

**********

******* WARNING ******** WARNING ********

THIS INFORMATION IS CORI. IT IS NOT SUPPORTED BY FINGERPRINTS.
*PLEASE CHECK THAT THE NAME REFERENCED BELOW MATCHES THE NAME AND DATE OF BIRTH*
*OF THE PERSON REQUESTED.

**********

J 102-775-60071-5

********** COMMONWEALTH OF MASSACHUSETTS **********
DEPARTMENT OF CRIMINAL JUSTICE INFORMATION SERVICES

*** PERSONS COURT SUMMARY ***

NAM: SWARTZ, AARON H
DOB: 11/08/86 SEX: M RAC: W
MOM: POP:
ADDRESS: 76 OXFORD ST CAMBRIDGE,MA

FORMAL-NAM: AARON
POB: IL
HGT: 506 WGT: 130 HAI: BLK EYE: BRO

PCF: 00005176807
SSN: (b)(6)(b)(0493

***** ***** ***** ***** ADULT APPEARANCES ***** ***** ***** *****

ARRAIGNMENT: (001)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133001
OFF: BREAKING AND ENTERING DT BLDG B&E DT
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (002)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133002
OFF: BREAKING AND ENTERING DT BLDG B&E DT
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (003)
ARG-DATE: 11/30/11 PD: MID COURT: MIDDLESEX SUPERIOR DKT#: 20111133003
OFF: LARCENY MORE O/$250 LAR MORE
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (004)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133004
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (005)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133005
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12
STATUS: O WPD:

ARRAIGNMENT: (006)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133006
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12
STATUS: O WPD:

ARRAIGNMENT: (007)
ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001
OFF: FRAUD (SPECIFY) WIRE FRD
DISP: CNT1 C
STATUS: O WPD:

ARRAIGNMENT: (008)
ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001A
OFF: FRAUD (SPECIFY) COMPUTER FRD
DISP: CNT2 C
STATUS: O WPD:

ARRAIGNMENT: (009)
ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001B
OFF: CRIME OF (SPECIFY IN FULL) UNL OBT INFO FR COMPCRIME OF
DISP: CNT3 C
STATUS: O WPD:

ARRAIGNMENT: (010)
ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001C
OFF: CRIME OF (SPECIFY IN FULL) RECKLESSLY DAM COMP CRIME OF
DISP: CNT4 C
STATUS: O WPD:

ARRAIGNMENT: (011)
ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT DKT#: 1152CR000073A
OFF: B&E DT W/I COM FEL B&E DT W/I FEL
DISP: C 12/16/11 NP
STATUS: C WPD:

ARRAIGNMENT: (012)
ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT DKT#: 1152CR000075A

RIF

DISP: C 12/16/11 NP

B&E DT W/I FEL
STATUS: C WPD:

***** ***** ***** **** END OF ADULT APPEARANCES ***** ***** ***** *****

REQUESTED BY: (b)(6) (b)(7)(C)
COMPLETED BY:
AGENCY: US SECRET SERVICE

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER: 102 2011 CE 000032

EVIDENCE HELD AGAINST: SWARTZ AARON

☐ SPECIMEN

CASE NO.: 102-775-0060071-S

OFFICE: BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY: (b)(6) (b)(7)(C)

SIGNATURE - SPECIAL AGENT: 2/11/11 DATE

DATE OF INVENTORY: 02/11/11

PAGE 1 OF 3 PAGES

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS: 2/11/11 DATE

REVIEWING SUPERVISOR: (b)(6) (b)(7)(C)

SIGNATURE: 2/11/11 DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION: 12-25-11 DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320 BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | HARVARD UNIVERSITY EARNINGS STATMENT FOR 12/11/10-12/17/10, ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA., LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE. | NO VALUE |
| 2 | 02/11/11 | 1 | EARNINGS STATEMENT ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA ORDERED BY GOOGLE INC. 1600 AMPHITHEATRE PARKWAY, MOUNTAIN VIEW, CA 94043. AMOUNT DUE $1,703.35. LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE. | NO VALUE |
| 3 | 02/11/11 | 1 | MASTER'S THESIS M-876 LIND, WILLIAM EDMUND, THOMAS MCDONALD: "A STUDY OF AN ENGINEER ADMINISTRATOR & HIS INFLUENCE ON PUBLIC ROADS IN THE US 1919-1953," LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| | | | TOTAL | NO VALUE |

2012 JAN -9 AM 7:05 U.S. SECRET SERVICE

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/98)

admitted

**CERTIFIED INVENTORY OF EVIDENCE**
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000032

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE



CASE NO.
102-775-0060071-8

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | BROUGHT FORWARD | NO VALUE |
| 4 | 02/11/11 | 1 | WESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| 5 | 02/11/11 | 1 | ONE SHEET OF 8 1/2 X 11 NOTEBOOK PAPER WITH BLACK INKED LETTER TO AARON, SIGNED (b)(6),(b)(7)( DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| | | | TOTAL | NO VALUE |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

FINAL JAB

SSF 1544A (03/85)

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000032 | PAGE 3 OF 3 PAGES |
|---|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | | CASE NO 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-5 | 2-11-11 | TO BFO VAULT | | (b)(6) (b)(7)(C) |
| all | " | TO SA (b)(6) (b)(7)(C) | | |
| 1-5 | 2/11 | Returned to Martin Weinberg ATTNY | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

TED STATES SECRET SERVICE

SSF 1544 (03/88)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER: 102 2011 CE 000032

EVIDENCE HELD AGAINST: SWARTZ AARON

☐ SPECIMEN

CASE NO: 102-775-0060071-8

OFFICE: BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY: (b)(6) (b)(7)(C)

SIGNATURE - SPECIAL AGENT — DATE: 2/11/11

DATE OF INVENTORY: 02/11/11

PAGE 1 OF 3 PAGES

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS — DATE: 2/11/11

REVIEWING SUPERVISOR: (b)(6) (b)(7)(C)

SIGNATURE — DATE: 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION — DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320 BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AS 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | HARVARD UNIVERSITY EARNINGS STATMENT FOR 12/11/10-12/17/10, ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA., LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE. | NO VALUE |
| 2 | 02/11/11 | 1 | EARNINGS STATEMENT ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA ORDERED BY GOOGLE INC. 1600 AMPHITHEATRE PARKWAY, MOUNTAIN VIEW, CA 94043, AMOUNT DUE $1,703.35, LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE. | NO VALUE |
| 3 | 02/11/11 | 1 | MASTER'S THESIS M-076 LIND, WILLIAM EDMUND, THOMAS MCDONALD: "A STUDY OF AN ENGINEER ADMINISTRATOR & HIS INFLUENCE ON PUBLIC ROADS IN THE US 1919-1953," LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| | | | TOTAL | NO VALUE |

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/86)

Casefile

**CERTIFIED INVENTORY OF EVIDENCE**
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000032

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE



CASE NO.
102-775-0060071-8

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | BROUGHT FORWARD | NO VALUE |
| 4 | 02/11/11 | 1 | WESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| 5 | 02/11/11 | 1 | ONE SHEET OF 8 1/2 X 11 NOTEBOOK PAPER WITH BLACK INKED LETTER TO AARON, SIGNED (b)(6) (b)(7)( DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE. | NO VALUE |
| | | | TOTAL | NO VALUE |

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544A (03/88)

FINAL JAB

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

**CERTIFIED INVENTORY OF EVIDENCE**
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000032

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

PAGE 3 OF 3 PAGES

CASE NO.
102-775-0060071-8

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-5 | 2/11/11 | TO BFO VAULT | | (b)(6) (b)(7)(C) [redacted] |
| all | [illegible]/11 | TO SA (b)(6) (b)(7)(C) [redacted] discovery | | |
| 1-5 | [illegible]/11 | Returned to Martin Weinberg ATTNY | | |

ED STATES SECRET SERVICE

SSF 1544 (03/98)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000035

DENCE HELD AGAINST
SWARTZ AARON

☐ SPECIMEN

CASE NO.
102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY
(b)(6) (b)(7)(C)

SIGNATURE - SPECIAL AGENT
2/11/11
DATE

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS
2/11/11
DATE

REVIEWING SUPERVISOR
(b)(6) (b)(7)(C)

SIGNATURE
2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION
12-28-11
DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AS 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) OF THE BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | METALLIC BLUE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE. | $50.00 |
| 2 | 02/11/11 | 1 | WHITE IPOD W/PLASTIC WHITE CARRYING CASE, LOCATED IN THE BEDROOM OF THE RESIDENCE. | $50.00 |
| 3 | 02/11/11 | 1 | WHITE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE. SERIAL NUMBERS: 8A6330856UXSA | $50.00 |
| 4 | 02/11/11 | 1 | WHITE ITALK, LOCATED IN THE BEDROOM OF THE RESIDENCE. | $25.00 |
| 5 | 02/11/11 | 1 | BLACK 16GB THUMBDRIVE, WHITE LABEL W/RED INK, READING "IL STATE BOARD OF ELECTIONS, NORMALIZED STATEWIDE 11-09," LOCATED IN THE BEDROOM OF THE RESIDENCE. | $10.00 |
| | | | TOTAL | $185.00 |

UNITED STATES SECRET SERVICE
FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/98)

admin

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

**CERTIFIED INVENTORY OF EVIDENCE**
(Continuation Sheet)

| DATE OF INVENTORY | OFFICE | SERIAL NUMBER (FROM SSF 1544) | PAGE 2 OF 2 PAGES |
|---|---|---|---|
| 02/11/11 | BOSTON FIELD OFFICE | 102 2011 CE 000035 | CASE NO 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-5 | 2-11-11 | To BFO vault | | (b)(6) (b)(7)(C) |
| 911 | 11/21/11 | To SA (b)(6) (b)(7)(C) / discovery | | |
| 1-5 | 11/21/11 | Returned to Mark Wanberg, ATM | | |

TED STATES SECRET SERVICE

SSF 1544 (01/96)

23

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000035

EVIDENCE HELD AGAINST
SWARTZ AARON

☐ SPECIMEN

CASE NO
102-775-0060071-S

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY
(b)(6) (b)(7)(C)

SIGNATURE - SPECIAL AGENT
2/11/11
DATE

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

REVIEWING SUPERVISOR
(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS
2/11/11
DATE

SIGNATURE
2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| | | | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) OF THE BOSTON FIELD OFFICE. | |
| 1 | 02/11/11 | 1 | METALLIC BLUE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE. | $50.00 |
| 2 | 02/11/11 | 1 | WHITE IPOD W/PLASTIC WHITE CARRYING CASE, LOCATED IN THE BEDROOM OF THE RESIDENCE. | $50.00 |
| 3 | 02/11/11 | 1 | WHITE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE. SERIAL NUMBERS: 8A6330856UX8A | $50.00 |
| 4 | 02/11/11 | 1 | WHITE ITALK, LOCATED IN THE BEDROOM OF THE RESIDENCE. | $25.00 |
| 5 | 02/11/11 | 1 | BLACK 16GB THUMBDRIVE. WHITE LABEL W/RED INK, READING "IL STATE BOARD OF ELECTIONS, NORMALIZED STATEWIDE 11-09," LOCATED IN THE BEDROOM OF THE RESIDENCE. | $10.00 |
| | | | TOTAL | $185.00 |

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/96)

Casefile

# CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

**CERTIFIED INVENTORY OF EVIDENCE**
(Continuation Sheet)

| DATE OF INVENTORY | OFFICE | SERIAL NUMBER (FROM SSF 1544) | PAGE 2 OF 2 PAGES |
|---|---|---|---|
| 02/11/11 | BOSTON FIELD OFFICE | 102 2011 CE 000035 | CASE NO. 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1-5 | 2-11-11 | TO BFO VAULT | | (b)(6) (b)(7)(C) |
| 911 | 11/21/11 | TO SA (b)(6) (b)(7)(C) / discovery | | |
| 1-5 | 11/21/11 | Returned to Martin Wenberg, Atty | | |

...TED STATES SECRET SERVICE

SSF 1544 (03/98)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER: 102 2011 CE 000081

EVIDENCE HELD AGAINST: SWARTZ AARON

☐ SPECIMEN

CASE NO.: 102-775-0060071-S

OFFICE: BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY: (b)(6) (b)(7)(C)

SIGNATURE - SPECIAL AGENT — DATE: 2/11/11

DATE OF INVENTORY: 02/11/11

PAGE 1 OF 2 PAGES

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS — DATE: 2-11-11

REVIEWING SUPERVISOR: (b)(6) (b)(7)(C)

SIGNATURE — DATE: 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION — DATE: 12-28-11

| ITEM NO | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| 1 | 02/11/11 | 16 | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.<br><br>THESE ITEMS ARE MARKED "MP 2/11/11" FOR IDENTIFICATION PURPOSES. MP IDENTIFIES SA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE. SIXTEEN DEPARTMENT OF LABOR CD'S CONTAINED IN A YELLOW MAILING ENVELOPE. | $16.00 |
| | | | TOTAL | $16.00 |

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1544 (03/86)

admin

CUSTODY LOG

If this inventory consists of more than one p[illegible] documen[illegible] of Custody Log on the reverse [illegible] FINAL CONTINUATION SHEET

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000081 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1 | 2/11 | [illegible] | | (b)(6) (b)(7)(C) |
| 1 | 11/21/11 | To [illegible] (b)(6) (b)(7)(C) [illegible] | | |
| 1 | 11/21/11 | Returned to Martin Weinberg ATTNY | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

NITED STATES SECRET SERVICE

SSF 1544 (03/88)

# CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER: 102 2011 CE 000081

EVIDENCE HELD AGAINST: SWARTZ AARON

☐ SPECIMEN

CASE NO.: 102-775-0060071-8

OFFICE: BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY: (b)(6) (b)(7)(C)

DATE OF INVENTORY: 02/11/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT: 2/11/11 DATE

REVIEWING SUPERVISOR: (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS: 2-11-11 DATE

SIGNATURE: 2/11/11 DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

| ITEM NO. | DATE RECEIVED | QUANTITY | DESCRIPTION OF EVIDENCE | VALUE |
|---|---|---|---|---|
| 1 | 02/11/11 | 16 | THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.<br><br>THESE ITEMS ARE MARKED "MP 2/11/11" FOR IDENTIFICATION PURPOSES. MP IDENTIFIES SA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE.<br>SIXTEEN DEPARTMENT OF LABOR CD'S CONTAINED IN A YELLOW MAILING ENVELOPE. | $16.00 |
| | | | TOTAL | $16.00 |

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMINMNO/PAPS

SSF 1544 (03/98)

Casefile

If this inventory consists of more than one page, document [illegible] of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

| CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) | SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000081 | PAGE 2 OF 2 PAGES |
|---|---|---|
| DATE OF INVENTORY 02/11/11 | OFFICE BOSTON FIELD OFFICE | CASE NO 102-775-0060071-S |

| ITEM NO | DATE | ACTION | REGISTRY NUMBER(S) | SIGNATURE |
|---|---|---|---|---|
| 1 | 2/11/11 | [illegible] | | (b)(6) (b)(7)(C) [redacted] |
| 1 | 11/21/11 | TO [illegible] (b)(6) (b)(7)(C) [redacted] | | |
| 1 | 11/21/11 | Returned to Martin Weinberg ATTNY | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

NITED STATES SECRET SERVICE

SSF 1544 (03/86)

U.S. Department of Homeland Security
# UNITED STATES SECRET SERVICE

Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone. (617) 565-5640

File #: 102-775-60071-S

## RECEIPT

On November 21, 2011 SA (b)(6) (b)(7)(C) Boston Field Office returned the below listed items to Martin Weinburg, Attorney for suspect Aaron Swartz.

| ITEM#: | SSF 1544 Evidence S/N: | Description |
|---|---|---|
| 1 | 102 2011 CE 31 | Scientific Atlantic Modem with Power Cord |
| 4 | " | Black Notebook Journal |
| 1-5 | 102 2011 CE 32 | Statements, Mail In Rebate, Notebook Paper with black writing, and Master's Thesis M-876 Lind |
| 1 | 102 2011 CE 33 | Wireless Broadband Router Linksys |
| 1-5 | 102 2011 CE 35 | Ipods, Wireless ITalk, and Thumbdrive |
| 1 | 102 2011 CE 36 | (39) Misc CD's |
| 3-5 | 102 2011 CE 37 | Pure Drive Harddrive , Disc Utility Internal Harddrive Upgrade, and Seagate Sata Internal Harddrive |
| 1 | 102 2011 CE 81 | (16) Department of Labor CD's |

SIGNATURE: (b)(6) (b)(7)(C)

DATE: 11/21/11

2011 NOV 22 A 10:23

U.S. Department of Homeland Security
UNITED STATES SECRET SERVICE
Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone: (617) 565-5640

File: 102-775-60071-S

**RECEIPT**

I acknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:

One (1) Western Digital My Passport hard drive, serial #WXG1A8146179

**SIGNATURE:** (b)(6) (b)(7)(C)

**Print Name:** (b)(6) (b)(7)(C)

**DATE:** 12/20/91

**RECEIVED FROM:** (b)(6) (b)(7)(C)

**SA** (b)(6) (b)(7)(C) **Boston Field Office**

2011 DEC 20 P 12:03

U.S. Department of Homeland Security
# UNITED STATES SECRET SERVICE

Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone: (617) 565-5640

File #: 102-775-60071-S

**RECEIPT**

I acknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:

One Toshiba Portable Hard Drive, Serial Number Y112P078TQQ6

**SIGNATURE:** (b)(6) (b)(7)(C)

**PRINT NAME:** (b)(6) (b)(7)(C)

**DATE:** 01/31/12

**RECEIVED FROM:** (b)(6) (b)(7)(C)

SA (b)(6) (b)(7)(C) Boston Field Office

(b)(6) (b)(7)(C) (BOS)

**From:** (b)(6) (b)(7)(C) (BOS)
**Sent:** Wednesday, November 23, 2011 1:12 PM
**To:** (b)(6) (b)(7)(C) (BOS)
**Subject:** Swartz 102-775-60071 1544 102 2011 CE 38

Item #1 the Apple iMac Model A1311 with serial number W8025AXGD87 from 1544 inventory 102 2011 CE 38 in case 102-775-60071 was turned over to Detective (b)(6) (b)(7)(C) of the Harvard University Police Department today 11/23/11.

(b)(6) (b)(7)(C)
U.S. Secret Service
Boston Field Office
(b)(6) (b)(7)(C)

UNITED STATES GOVERNMENT

m e m o r a n d u m

DATE: November 21, 2011 U. S. Secret Service

REPLY TO ATTN OF: ISA (b)(6) (b)(7)(C) File: 102-775-60071-S

SUBJECT: Disposition of Evidence - Aaron Swartz

TO: ATSAIC (b)(6) (b)(7)(C)

Info: FSD

Approval is requested for the disposition of evidence inventoried under the above file number as indicated below:

| SSF 1544 | DATE | ITEM | DESCRIPTION | EXCEPTION |
|---|---|---|---|---|
| 1022011CE32 | 02/11/11 | 1-5 | Misc Statements | A |
| 1022011CE35 | 02/11/11 | 1-5 | Ipods, Elect Items | A |
| 1022011CE81 | 02/11/11 | 1 | (16) CD's | A |

// EXCEPTION X:

Local destruction is authorized for inventoried items noted above not to include those items followed by an exception, explained below. A statement of certification of Destruction should be attached on the reverse of SSF 1544, parts II and III. Part II should be forwarded to FSD.

// EXCEPTION A:

You are authorized to return these items to the victim bank or merchant, etc. The recipient shall acknowledge receipt by signing a statement of receipt and/or the U.S. Postal Service registered mail receipt will be attached to part II of the appropriate SSF 1544 and forwarded to FSD.

// EXCEPTION B:

You are authorized to retain this non-contraband item in the closed file for possible future investigative or forensic purposes.

// EXCEPTION C:

This item has been ordered by the court or lawful owner to be donated to a tax exempt organization. Obtain signed statement of receipt, attach to part II of appropriate SSF 1544, and forward to FSD. Forward copy of receipt to Financial Crimes Division for inclusion in the HQ case file.

// EXCEPTION D: (Property valued less then $500)

This item has been declared abandoned, donated by lawful owner to the USSS, or has been ordered by the court to be used by the USSS. Authorization is hereby granted to retain this item for field office use. The automated Property Management System (PAMS) will be used to track accountable property placed into official use by this Service. You must submit a memorandum to the Property Management Branch thru the Administrative Operations Division, requesting a property number for property meeting the minimum dollar cost criteria (see Administrative Manual Volume I, Chapter 2, Sections 2.3.2 - 2.3.3). A copy of this memorandum and a copy of the SSF 1544 identifying the make, model, item description, serial number, and estimated cost must be attached to the memorandum submitted to the Property Management Branch. A copy of the package submitted to the Property Management Branch must be returned to the Financial Crimes Division for inclusion in the HQ case file.

// EXCEPTION E: (Property valued $500 - $1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request from your office to place the property into official use, AOD concurs with this Division's authorization for local disposition. A statement of certification of destruction should be attached on the reverse of the SSF 1544, Part II and III. Part II should be forwarded to FSD. However, if the property is required for official use by your office, follow the procedures as outlined in Exception D, above.

// EXCEPTION F: (Property valued in excess of $1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request form your office to place the property into official use, SSF 1905, Report of Seized Property for Disposal, has been prepared by this division and forwarded to AOD. You will receive further instructions from AOD or GSA regarding disposition of this (these) item(s). However, if the property is required for office use by your office, follow the procedures as outlined in Exception D, above.



RIF

// EXCEPTION G: (Other)

(b)(6) (b)(7)(C)

Investigative Support Assistant

APPROVED:

(b)(6) (b)(7)(C)

ATSAIC

Boston F.O. - 1CC

FSD - 1CC

CID - 1CC

AO 442 (Rev. 01/09) Arrest Warrant

2128886

RECEIVED
U.S. MARSHAL SERVICE
BOSTON, MA
2011 JUL 14 P 3:

# UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America
v.

Aaron Swartz
*Defendant*

Case No. 11-CR-10260

2011

## ARREST WARRANT

To: Any authorized law enforcement officer

**YOU ARE COMMANDED** to arrest and bring before a United States magistrate judge without unnecessary delay
*(name of person to be arrested)* Aaron Swartz
who is accused of an offense or violation based on the following document filed with the court:

☒ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☐ Complaint
☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:
18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030 (a)(2) (Theft of Information From a Computer) and 18 U.S.C. 1030 (a)(5)(B) (Recklessly Damaging a Computer); 18 U.S.C. 2 (Aiding and Abetting)
All committed between September 24, 2010 and January 6, 2011, or thereabout

Date: July 14, 2011

City and state: Boston, Massachusetts

UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

*Issuing signature*

*Printed name and title*

**Return**

This warrant was received on *(date)* _____, and the person was arrested on *(date)* _____
at *(city and state)* _____.

Date: _____

*Arresting officer's signature*

*Printed name and title*

RIF

CASREF, VICTIM

# United States District Court
## District of Massachusetts (Boston)
## CRIMINAL DOCKET FOR CASE #: 1:11-cr-10260-NMG-1

Case title: USA v. Swartz

Date Filed: 07/14/2011

Assigned to: Judge Nathaniel M. Gorton
Referred to: Ch. Magistrate Judge Judith G. Dein

**Defendant (1)**

**Aaron Swartz**

represented by **Andrew Good**
Good & Cormier
3rd Floor
83 Atlantic Ave.
Boston, MA 02110
617-523-5933
Fax: 617-523-7554
Email: agood@goodcormier.com
*ATTORNEY TO BE NOTICED*
*Designation: Retained*

| Pending Counts | Disposition |
|---|---|
| 18:1343 & 2...WIRE FRAUD (1) | |
| 18:1030(a)(4) & 2...COMPUTER FRAUD (2) | |
| 18:1030(a)(2), (c)(2)(B)(iii) & 2 ...UNLAWFULLY OBTAINING INFORMATION FROM A PROTECTED COMPUTER (3) | |
| 18:1030(a)(5)(B),(c)(4)(A)(i)(I)(VI) & 2 ...RECKLESSLY DAMAGING A PROTECTED COMPUTER (4) | |

**Highest Offense Level (Opening)**

Felony



RIF

**Terminated Counts**

None

**Disposition**

**Highest Offense Level (Terminated)**

None

**Complaints**

None

**Disposition**

---

**Plaintiff**

**USA**

represented by **Scott Garland**
United States Attorney's Office
John J. Moakley U.S. Courthouse
Suite 9200
Boston, MA 02210
617-748-3148
Fax: 617-748-3960
Email: scott.garland@usdoj.gov
*LEAD ATTORNEY*
*ATTORNEY TO BE NOTICED*

**Stephen P. Heymann**
United States Attorney's Office
John Joseph Moakley Federal Courthouse
1 Courthouse Way
Suite 9200
Boston, MA 02210
617-748-3100
Email: Stephen.Heymann@usdoj.gov
*ATTORNEY TO BE NOTICED*

| Date Filed | # | Docket Text |
|---|---|---|
| 07/14/2011 | 1 | MOTION to Seal Case as to Aaron Swartz by USA. (Smith3, Dianne) (Entered: 07/14/2011) |
| 07/14/2011 | | Magistrate Judge Timothy S. Hillman: ELECTRONIC ORDER entered granting 1 Motion to Seal Case as to Aaron Swartz (1) (Smith3, Dianne) (Entered: 07/14/2011) |
| 07/14/2011 | 2 | SEALED INDICTMENT as to Aaron Swartz (1) count(s) 1, 2, 3, 4. (Attachments: # 1 JS45)(Smith3, Dianne) (Entered: 07/14/2011) |
| 07/14/2011 | 3 | Arrest Warrant Issued by Magistrate Judge Timothy S. Hillman as to Aaron Swartz. (Smith3, Dianne) (Entered: 07/15/2011) |



RIF

| | | |
|---|---|---|
| 07/15/2011 | | ELECTRONIC NOTICE of Case Assignment as to Aaron Swartz; Judge Nathaniel M. Gorton assigned to case. If the trial Judge issues an Order of Reference of any matter in this case to a Magistrate Judge, the matter will be transmitted to Chief Magistrate Judge Judith G. Dein. (Rynne, Michelle) (Entered: 07/15/2011) |
| 07/19/2011 | 4 | MOTION to Unseal Case as to Aaron Swartz by USA. (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | | Ch. Magistrate Judge Judith G. Dein: ELECTRONIC ORDER entered granting 4 Motion to Unseal Case as to Aaron Swartz (1) (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | | Attorney update in case as to Aaron Swartz. Attorney Andrew Good added. (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | | Arrest of Aaron Swartz (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | | ELECTRONIC Clerk's Notes for proceedings held before Ch. Magistrate Judge Judith G. Dein:Initial Appearance as to Aaron Swartz held on 7/19/2011, Arraignment as to Aaron Swartz (1) Count 1,2,3,4 held on 7/19/2011, Plea entered by Aaron Swartz (1) Count 1,2,3,4. by Aaron Swartz Not Guilty on counts all. USMJ Dein informs the Dft. of his rights and charges; Dft. has retained counsel; Govt. states maximum penalties; Dft. is released on bond with conditions. 1st conference is set for 9/9/11 @ 10:00am(Attorneys present: Heyman and Good. )Court Reporter Name and Contact or digital recording information: Digital Recording. (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | 5 | Appearance and Compliance Bond Entered as to Aaron Swartz in amount of $ 100,00.00, (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | 6 | Ch. Magistrate Judge Judith G. Dein: ORDER entered. ORDER Setting Conditions of Release as to Aaron Swartz. (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | 7 | Ch. Magistrate Judge Judith G. Dein: ORDER entered. SCHEDULING ORDER as to Aaron Swartz Status Conference set for 9/9/2011 10:00 AM in Courtroom 15 before Ch. Magistrate Judge Judith G. Dein. (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/19/2011 | 8 | Ch. Magistrate Judge Judith G. Dein: ORDER entered. ORDER ON EXCLUDABLE DELAY as to Aaron Swartz. Time excluded from 7/19/11 until 8/16/11. Reason for entry of order on excludable delay: 18 USC 3161(h)(7)(A) Interests of justice. (Quinn, Thomas) (Entered: 07/19/2011) |
| 07/20/2011 | 9 | CORPORATE DISCLOSURE STATEMENT as to Aaron Swartz (Heymann, Stephen) (Entered: 07/20/2011) |
| 07/20/2011 | 10 | NOTICE OF ATTORNEY APPEARANCE Scott Garland appearing for USA. (Garland, Scott) (Entered: 07/20/2011) |
| 07/21/2011 | 11 | Assented to MOTION Unseal Warrants and Applications, MOTION to Unseal Document ( Responses due by 8/4/2011) as to Aaron Swartz by USA. (Heymann, Stephen) (Entered: 07/21/2011) |

RIF

| | | |
|---|---|---|
| 07/21/2011 | 12 | Motion for Victim Rights in case as to Aaron Swartz( Responses due by 8/4/2011), MOTION For Alternative Victim Notification as to Aaron Swartz by USA. (Heymann, Stephen) (Entered: 07/21/2011) |

**PACER Service Center**

**Transaction Receipt**

08/01/2011 11:09:04

| | | | |
|---|---|---|---|
| **PACER Login:** | ux4041 | **Client Code:** | |
| **Description:** | Docket Report | **Search Criteria:** | 1:11-cr-10260-NMG |
| **Billable Pages:** | 3 | **Cost:** | 0.24 |



RIF

## FORFEITURE ALLEGATIONS

**(18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 18 U.S.C. §982(a)(2)(B))**

38. Upon conviction of the offense alleged in Count One of the Indictment, the defendant,

**AARON SWARTZ,**

shall forfeit to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c), any property, real or personal, that constitutes, or is derived from, proceeds traceable to the commission of the offense.

39. Upon conviction of one or more of the offenses alleged in Counts Two through Four of the Indictment, the defendant,

**AARON SWARTZ,**

shall forfeit to the United States, pursuant to 18 U.S.C. § 982(a)(2)(B) any property constituting, or derived rom, proceeds obtained directly or indirectly as a result of the commission of the offenses.

40. If any of the property described in paragraphs 38 and 39 hereof as being forfeitable pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 18 U.S.C. § 982(a)(2)(B) as a result of any act or omission of the defendant --

a. cannot be located upon the exercise of due diligence;

b. has been transferred to, sold to, or deposited with a third party;

c. has been placed beyond the jurisdiction of this Court;

d. has been substantially diminished in value; or

e. has been commingled with other property which cannot be divided without difficulty;



RIF

it is the intention of the United States, pursuant to 21 U.S.C. § 853(p), as incorporated by 28 U.S.C. § 2461(c), to seek forfeiture of all other property of the defendant up to the value of the property described in paragraphs 38 and 39 above.

All pursuant to Title 18, United States Code, Sections 981(a)(1)(C) and 982(a)(2)(B), and Title 28, United States Code, Section 2461(c).

A TRUE BILL

David R Thompson
Foreperson of the Grand Jury

Assistant United States Attorney

Date: 7-14-11

DISTRICT OF MASSACHUSETTS

July 14, 2011

Returned into the District Court by the Grand Jurors and filed.

Deputy Clerk
2:00p



RIF

JS 45 (5/97) - (Revised U.S.D.C. MA 3/2[illegible])

**Criminal Case Cover Sheet** — **U.S. District Court - District of Massachusetts**

**Place of Offense:** **Category No.** II **Investigating Agency** Secret Sevice

**City** Cambridge

**County** Middlesex

**Related Case Information:**

Superseding Ind./ Inf. _____ Case No. _____
Same Defendant _____ New Defendant _____
Magistrate Judge Case Number _____
Search Warrant Case Number See Additional Information Below
R 20/R 40 from District of _____

**Defendant Information:**

Defendant Name Aaron Swartz Juvenile: ☐ Yes ☑ No

Is this person an attorney and/or a member of any state/federal bar: ☐ Yes ☑ No

Alias Name _____

Address (City & State) Cambridge, MA

Birth date (Yr only): 86 SSN (last4#): 1374 Sex M Race: W Nationality: USA

**Defense Counsel if known:** Andrew Good Address Good & Courmier

**Bar Number** _____ 83 Atlantic Ave.

**U.S. Attorney Information:** Boston, MA 02110

**AUSA** Stephen Heymann Bar Number if applicable 558486

**Interpreter:** ☐ Yes ☑ No List language and/or dialect: _____

**Victims:** ☑ Yes ☐ No If yes, are there multiple crime victims under 18 USC§3771(d)(2) ☑ Yes ☐ No

**Matter to be SEALED:** ☑ Yes ☐ No

☑ Warrant Requested ☐ Regular Process ☐ In Custody

**Location Status:**

**Arrest Date** _____

☐ Already in Federal Custody as of _____ in _____.
☐ Already in State Custody at _____ ☐ Serving Sentence ☐ Awaiting Trial
☐ On Pretrial Release: Ordered by: _____ on _____

**Charging Document:** ☐ Complaint ☐ Information ☑ Indictment

**Total # of Counts:** ☐ Petty _____ ☐ Misdemeanor _____ ☑ Felony 4

Continue on Page 2 for Entry of U.S.C. Citations

☑ **I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.**

Date: July 14, 2011 Signature of AUSA: [signature]

RIF

**District Court Case Number** (To be filled in by deputy clerk):

**Name of Defendant** Aaron Swartz

**U.S.C. Citations**

| | Index Key/Code | Description of Offense Charged | Count Numbers |
|---|---|---|---|
| Set 1 | 18 USC 1343 | Wire Fraud | 1 |
| Set 2 | 18 USC 1030(a)(4) | Computer Fraud | 2 |
| Set 3 | 18 USC 1030(a)(2) | Theft of Information From a Computer | 3 |
| Set 4 | 18 USC 1030(a)(5)(B) | Recklessly Damaging a Computer | 4 |
| Set 5 | 18 USC 981 & 982 and 28 USC 2461 | Forfeiture | |
| Set 6 | 18 USC 2 | Aiding and Abetting | Counts 1-4 |
| Set 7 | | | |
| Set 8 | | | |
| Set 9 | | | |
| Set 10 | | | |
| Set 11 | | | |
| Set 12 | | | |
| Set 13 | | | |
| Set 14 | | | |
| Set 15 | | | |

**ADDITIONAL INFORMATION:** Search Warrant Case Numbers: 11m-5013-JGD;11m-5014-JGD 11m-5015-JGD; 11m-5031-JGD; 11m-5061-JGD; 11m-5062-JGD; 11m-5063-JGD; and 11m-5143-JGD

Seizure Warrant Case Number: 11m-5138-JGD



RIF

AO 442 (Rev. 01/09) Arrest Warrant

# UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America
v.

Aaron Swartz
*Defendant*

Case No. 11-CR-10260

## ARREST WARRANT

To: Any authorized law enforcement officer

**YOU ARE COMMANDED** to arrest and bring before a United States magistrate judge without unnecessary delay
*(name of person to be arrested)* Aaron Swartz
who is accused of an offense or violation based on the following document filed with the court:

☒ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☐ Complaint
☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:
18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030 (a)(2) (Theft of Information From a Computer) and 18 U.S.C. 1030 (a)(5)(B) (Recklessly Damaging a Computer); 18 U.S.C. 2 (Aiding and Abetting)
All committed between September 24, 2010 and January 6, 2011, or thereabout

Date: July 14, 2011

City and state: Boston, Massachusetts

*Printed name and title*

**Return**

This warrant was received on *(date)* _____, and the person was arrested on *(date)* _____
at *(city and state)* _____.

Date: _____

*Arresting officer's signature*

*Printed name and title*

RIF

(b)(6) (b)(7)(C) (BOS)

**From:** (b)(6) (b)(7)(C) @cambridgepolice.org]
**Sent:** Friday, February 25, 2011 12:49 PM
**To:** Heymann, Stephen (USAMA) (Stephen.Heymann@usdoj.gov)
**Cc:** (b)(6) (b)(7)(C) (BOS)
**Subject:** Search Warrant Return

Steve and (b)(6) (b)(7)(C)

Please review the following language for the SW returns. If it is agreeable to you both I was intending on handwriting this onto each warrant.

Case No: **11M-5062-JED**

Date and Time Warrant Executed: **February 25, 2011 9:00am EST**

Copy of Warrant and Inventory Left With: **Property Technician** (b)(6) (b)(7)(C)

Inventory Made In The Presence of: **Property Technician** (b)(6) (b)(7)(C) **and Deputy Superintendent** (b)(6) (b)(7)(C) (b)(6) (b)(7)(C)

Inventory of the Property Taken:

**HP USB Drive, marked 0045SMKBT1 85102**

**2.0 Terabyte Western Digital HD Serial Number WMAZA1626675**

**Acer Aspire One Laptop Computer Serial Number LUSAX0D001001100E1601**

Of course the individual item will be on its specific warrant with the info about execution.

(b)(6) (b)

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C) said that she also spoke with Swartz after the search of his residence. (b)(6) (b)(7)(C) said that Swartz told her that agents came into his house and took part of his book. (b)(6) (b)(7)(C) said that Swartz also said that agents came into the Safra Center and took his controller for Rock Band. (b)(6) (b)(7)(C) said that Swartz told her that the agents were frustrated and that they did not find what they were looking for. (b)(6) (b)(7)(C) said that Swartz told her that the agents took his phones.

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C) said that Swartz started a Google Group called Guerilla Open Access.

UNITED STATES GOVERNMENT

# Memorandum of interview

U.S. Secret Service

Case # 102-775-60071-S

DATE AND TIME: May 12, 2011 4:00pm

LOCATION: (b)(6) (b)(7)(C)

SUBJECT INTERVIEWED: (b)(6) (b)(7)(C)

IN ATTENDANCE: SA (b)(6) (b)(7)(C) (BOS)
Detective (b)(6) (b)(7)(C), Cambridge Police

On May 12, 2011, (b)(6) (b)(7)(C) was interviewed at the offices of the (b)(6) (b)(7)(C) in Boston Massachusetts. This interview was in reference to the Boston Field Office case number 102-775-60071-S. The interview was conducted by Special Agent (b)(6) (b)(7)(C) and me, Cambridge Police Detective (b)(6) (b)(7)(C) The following is a synopsis of his statements:

(b)(6) (b)(7)(C) stated that he has been a friend of Aaron Swartz for several years. (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) They communicate in person, by telephone and via electronic mail. (b)(6) (b)(7) uses the email address (b)(6) (b)(7) @gmail.com and Swartz uses the email address me@aaronsw.com for these communications. These email communications using the above addresses date back 4 to 5 years.

(b)(6) (b)(7) last saw Swartz in passing the previous weekend either on Saturday or Sunday. (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) Swartz was on his way to breakfast. (b)(6) (b)(7)(C)

(b) (6) (b) stated that after he read the Guerilla Manifesto he became interested in the idea of it and (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) (b) (6) (b) stated that he believed Aaron Swartz wrote the manifesto but could not remember if he ever had a conversation with Swartz confirming that Swartz wrote the manifesto. (b) (6) (b) stated that, "They believe that the open access movement is a human rights issue."

(b) (6) (b) stated that (b)(6) (b)(7)(C) is probably the closest person professionally and personally to Swartz and at times acts as his mentor. (b)(6) (b)(7)(c) has worked on projects in the past with (b)(6) (b)(7)(C) (b)(6) (b)(7)(C)

The interview was completed at approximately 5:00pm.

Respectfully Submitted,

(b)(6) (b)(7)(C)

CASE NUMBER: 102-866-0060071 TOTAL MEDIA PROCESSED: 9 30 TB

**SOFTWARE TOOLS**

Smartcard Commander - Embedded Device Forensics
CelleBrite - Cell Phone Forensics
FTK - Forensic Tool Kit
Encase - Encase

COMMENTS: NO SOFTWARE COMMENTS PROVIDED

**HARDWARE TOOLS**

UFED (CellBrite) - Cell Phone Forensics
SIM Card Reader - Embedded Device Forensics
Ultra Toolkit - All Writeblock Devices in the Ultra Toolkit

COMMENTS: NO HARDWARE COMMENTS PROVIDED

**CASE COMPUTERS**

COMPUTER DETAIL:

MODEL: Acer Aspire
ACQUIRED BY: SA (b)(6) (b)(7)(C)
SERIAL NO: LUSAX0D0010011001E1601
ACQUIRED DT: 02/25/2011
START DATE: 02/25/2011
END DATE: 05/20/2011
PROCESSED BY: SA (b)(6) (b)(7)(C)

HARD DRIVE(S) DETAILS:

| MODEL | SERIAL NUMBER | SIZE |
|---|---|---|
| Hitachi HTS545016B9A3 | 091209PB5B06QcE | 160 00 G |

HD COMMENTS:

COMPUTER DETAIL:

MODEL: Apple iMac A1311
ACQUIRED BY: SA (b)(6) (b)(7)(C)
SERIAL NO: W8025AXGD87
ACQUIRED DT: 02/11/2011
START DATE: 02/11/2011
END DATE: 05/20/2011
PROCESSED BY: SA (b)(6) (b)(7)(C)

HARD DRIVE(S) DETAILS:

| MODEL | SERIAL NUMBER | SIZE |
|---|---|---|
| Imaged by TDM | Imaged by TDM | 1 00 T |

HD COMMENTS:

**EMBEDDED TECHNOLOGY**

CASE NUMBER: 102-866-0060071 TOTAL MEDIA PROCESSED: 9 30 TB

**EMBEDDED TECHNOLOGY**

**MODEL:** Nokia 2320 **ACQUIRED BY:** SA (b)(6) (b)(7)(C)

**SERIAL NO:** 012185001723274 **ACQUIRED DT:** 02/14/2011

**START DATE:** 02/14/2011 **END DATE:** 05/20/2011

**PROCESSED BY:** SA (b)(6) (b)(7)(C)

**STORAGE DETAILS:**

| DESCRIPTION | SERIAL NUMBER | SIZE |
|---|---|---|
| Internal Memory | Internal Memory | 32.00 M |

**HD COMMENTS:**

**EMBEDDED TECHNOLOGY**

**MODEL:** HTC G2 **ACQUIRED BY:** SA (b)(6) (b)(7)(C)

**SERIAL NO:** 359116031982626 **ACQUIRED DT:** 02/16/2011

**START DATE:** 02/16/2011 **END DATE:** 05/20/2011

**PROCESSED BY:** SA (b)(6) (b)(7)(C)

**STORAGE DETAILS:**

| DESCRIPTION | SERIAL NUMBER | SIZE |
|---|---|---|
| Internal Memory | Internal Memory | 4.00 G |

**HD COMMENTS:**

**LOOSE DRIVES**

CASE NUMBER: 102-866-0060071

TOTAL MEDIA PROCESSED: 9.30 TB

MODEL:WD20EARS

SERIAL NUMBER:WD-WMAZA1626875

SIZE:2.00 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

PROCESSED BY:SA

ACQUIRED DATE: 02/28/2011

EXAM START:02/28/2011

EXAM END: 05/20/2011

COMMENTS:None specified

MODEL:Western Digital WD1200JS

SERIAL NUMBER:WD-WMANN1006724

SIZE:120.00 G

ACQUIRED BY:SA (b)(6) (b)(7)(C)

PROCESSED BY:SA

ACQUIRED DATE: 02/11/2011

EXAM START:02/11/2011

EXAM END: 05/20/2011

COMMENTS:None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800326

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

PROCESSED BY:SA

ACQUIRED DATE: 06/25/2011

EXAM START:06/27/2011

EXAM END: 07/07/2011

COMMENTS:None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800329

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

PROCESSED BY:SA

ACQUIRED DATE: 06/27/2011

EXAM START:06/27/2011

EXAM END: 07/07/2011

COMMENTS:None specified

(b)(6) (b)(7)(C) Office: BOSTON FIELD OFFICE Agent Phone (b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

CASE NUMBER: 102-866-0060071

TOTAL MEDIA PROCESSED: 9 30 TB

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800331

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

PROCESSED BY:SA

ACQUIRED DATE: 06/23/2011

EXAM START:06/23/2011

EXAM END: 07/07/2011

COMMENTS:None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800332

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

PROCESSED BY:SA

ACQUIRED DATE: 06/22/2011

EXAM START:06/22/2011

EXAM END: 07/07/2011

COMMENTS:None specified

**CASE MEDIA**

| CATEGORY | QTY | NUM | SIZE |
|---|---|---|---|
| Sim Card (Cell Phones) - Cell Phones | 2 | 64.0 | KB |
| Secure Digital Card | 1 | 8.0 | GB |
| USB Thumb Drives | 2 | 8.0 | GB |

**CASE CREDIT**

HARD DRIVE CREDIT: 8

MEDIA CREDIT: 3

E-TECH CREDIT: 2

TOTAL CREDIT: 13

**EXAM RESULTS**

EVIDENCE FOUND: YES

AUTHORITY: Warrant

COMMENTS: NO COMMENTS PROVIDED

(b)(6) (b)(7)(C) Office. BOSTON FIELD OFFICE Agent Phone (b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

## Inspection Division

## Criminal Investigations Case Review Worksheet – Section 8

J-Case: _____ OPEN: ✓ CLOSED: _____ ICR: _____ (Appropriate Use: Yes No)

File Number 102-775-60071-5

Case Agent (b)(6);(b)(7)(C)

Case Title Aaron Swartz

Secondary Case Type YES ✓ NO ____

Date Assigned 1/4/11 1st Report Due 4/4/11 Amt of Loss $ _____

| | (Tickler Date) | (Date Submitted) | | |
|---|---|---|---|---|
| 1st Report | 4/4/11 | 1/24/11 | On Time | Days Late ____ |
| 2nd Report | 4/21/11 | 2/28/11 | On Time | Days Late ____ |
| 3rd Report | 6/29/11 | 6/29/11 | On Time | Days Late ____ |
| 4th Report | 9/27/11 | 8/1/11 (NDFA) | On Time | Days Late ____ |
| 5th Report | 11/29/11 | 11/29/11 | On Time | Days Late ____ |
| 6th Report | 2/27/12 | 2/27/12 | On Time | Days Late ____ |
| 7th Report | 5/28/12 | | On Time | Days Late ____ |
| 8th Report | | | On Time | Days Late ____ |
| Report | | | On Time | Days Late ____ |
| Report | | | On Time | Days Late ____ |
| Report | | | On Time | Days Late ____ |

Total M/Rs _____ Total M/Rs Late _____ Total Days Late _____

**All Leads Explored:** YES ____ NO ____ **Reports:** Accurate Clear Detailed Complex Community Impact AF

Date of Arrest 7.19.11 Date of Official Message 8.1.11 On Time Days Late ____ F ✓ S ____

Date of Arrest _____ Date of Official Message _____ On Time Days Late ____ F ____ S ____

Date of Arrest _____ Date of Official Message _____ On Time Days Late ____ F ____ S ____

Date of Arrest _____ Date of Official Message _____ On Time Days Late ____ F ____ S ____

Date of Arrest _____ Date of Official Message _____ On Time Days Late ____ F ____ S ____

Total Arrest Messages _____ Total Arrest Messages Late _____ Total Days Late _____

Date of Plant Suppression _____ Date of Official Message _____ On Time Days Late ____

Date of Plant Suppression _____ Date of Official Message _____ On Time Days Late ____

Date of Plant Suppression _____ Date of Official Message _____ On Time Days Late ____

Total Plant Sup Messages _____ Total Plant Sup Messages Late _____ Total Days Late: _____



Z:\masters\fieldoffice\CriminalCaseReviewWorkshee
Updated 5/5/

# Criminal Investigations Case Review Worksheet – Section 8



Date of Intercept: _____ Date of Official Message: _____ On Time Days Late _____ T V

Date of Intercept: _____ Date of Official Message: _____ On Time Days Late _____ T V

Date of Intercept: _____ Date of Official Message: _____ On Time Days Late _____ T V

Date of Intercept: _____ Date of Official Message: _____ On Time Days Late _____ T V

Total Intercept Messages: _____ Total Intercept Messages Late _____ Total Days Late _____ T V

## AUSA

Prosecution: Federal____ State____ Juvenile____ NA

Date of AUSA Declination _____ Letter in File: Yes____ No____ N/A____ *(Required for State Prosecution)*

Blanket Letter: Yes____ No____ OR In Report____ *(Required for State Prosecution)*

Date of AUSA Currency Retention Letter: _____ Letter in File: Yes____ No____ N/A____

## SUSPECTS / DEFENDANTS

SF 1599 ✓ Photographs____ Fingerprints____ Palm prints____ FBI R-84____ Subject Statements____

CIC Criminal History____ State Records____ ISD Data Searches____ FSD Exam____

**Constitutional Rights:** Verbal____ Written____ Waived____ **Consent to Search:** Verbal____ Written____

Defendants/Suspects listed in subsequent reports: YES____ NO____

Aaron Swartz

(b)(6) (b)(7)(C)

## EVIDENCE / PERSONAL PROPERTY

SF 1544 ✓ SSF 3051____

Disposition of Evidence: YES____ NO____

Certificate of Destruction: YES____ NO____

## J-CASE REQUIREMENTS

J-Case Requirements Complete: YES____ NO____

SSF 1599A____ Case Report____ Raid Plan____

Investigative Notes in File: YES____ NO____

## USE OF:

CI____ Confidential Fund____ UC____ Surveillance____ Polygraph____ ECSAP____

Content and Quality of Reports _____